



1

Agenda

12:00 - 14:30

Topics covered:

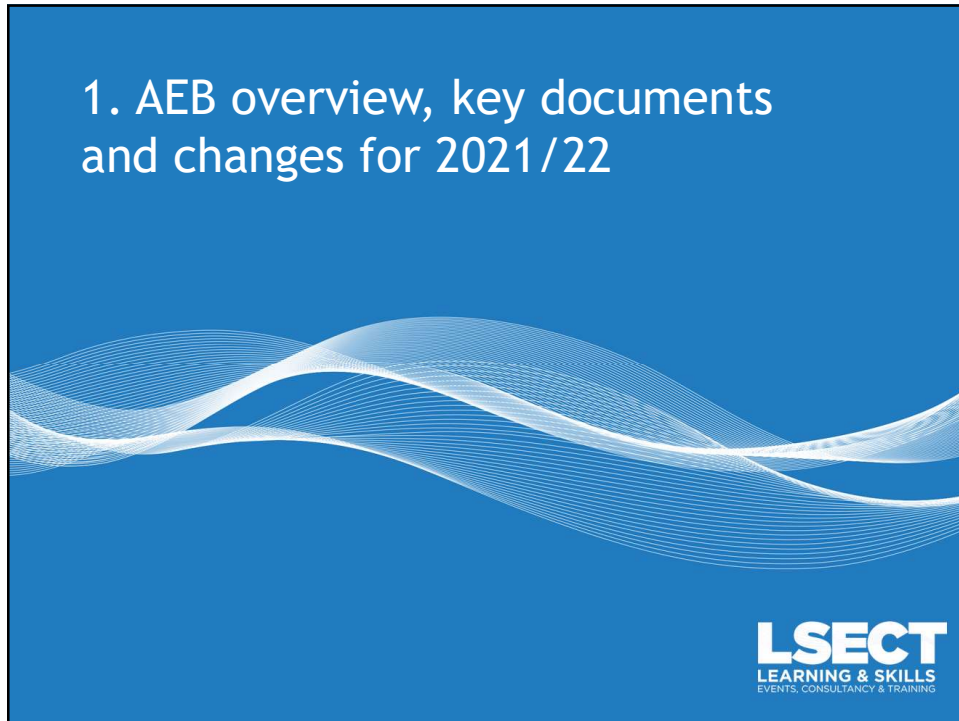
1. AEB overview, key documents and changes for 2021/22
2. AEB devolution - key differences from ESFA and changes for 2021/22
3. Funding eligibility and evidence
4. Full-funding entitlements and local flexibilities (incl SWAPs and L3 adult offer)
5. Funding formula and rates for full and co-funding (incl. Single Activity Matrix)
6. Funding maths, English and ESOL - key differences
7. Using 'find a learning aim' (FALA)
8. Creating an AEB course dynamic funding profiler
9. Key ILR issues and related tools in 2021/22 (incl ESFA portals and FIS)
10. Funding audit for AEB and the use of the PDSATs

All slides and a recording of this webinar will be sent to attendees

Warning

Technical training

2



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AEB overview

ESFA for 2021/22: “ESFA funded AEB aims to engage adults and provide the skills and learning they need to progress into, or within, work; or equip them for an apprenticeship or other learning. It enables more flexible tailored programmes of learning to be made available, which may or may not require a qualification, to help eligible learners engage in learning, build confidence, and/or enhance their wellbeing”

- > ESFA allocate around £1.3bn every year to providers
- > Devolved authority areas allocate around £700m every year
- > Grant funded providers (typically colleges and LAs) do not tender
- > Contract funded providers (typically ITPs) tender - sometimes referred to as ‘procured AEB’

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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AEB purpose

In past DfE said AEB provision fits broadly four categories:

1. Legal entitlements

- > English and maths GCSE and functional skills up to Level 2 (including as part of a traineeship), for those aged 19 and over who have not achieved a GCSE grade 4-9
 - > Provision to support progression up to a first full Level 2, or a first full Level 2, for those aged 19 to 23
 - > First full Level 3 for those aged 19 to 23
- also Level 3 adult offer and Essential Digital Skills Qualifications

2. Skills provision for unemployed (incl. SWAPs)

3. Traineeships (outside AEB for ITPs in 2021/22)

4. Community learning for learners furthest from learning or employment (outside AEB for ITPs in 2021/22)

Could you label all your AEB provision this way?

5

Who decides the AEB course priorities?

2019/20 rules

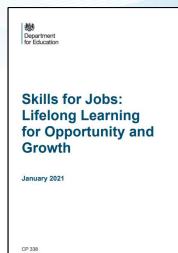
3. Providers must respond to the priorities set by local commissioners and other stakeholders, for example, local enterprise partnerships and their [Skills Advisory Panels](#).

2020/21 and
2021/22 rules

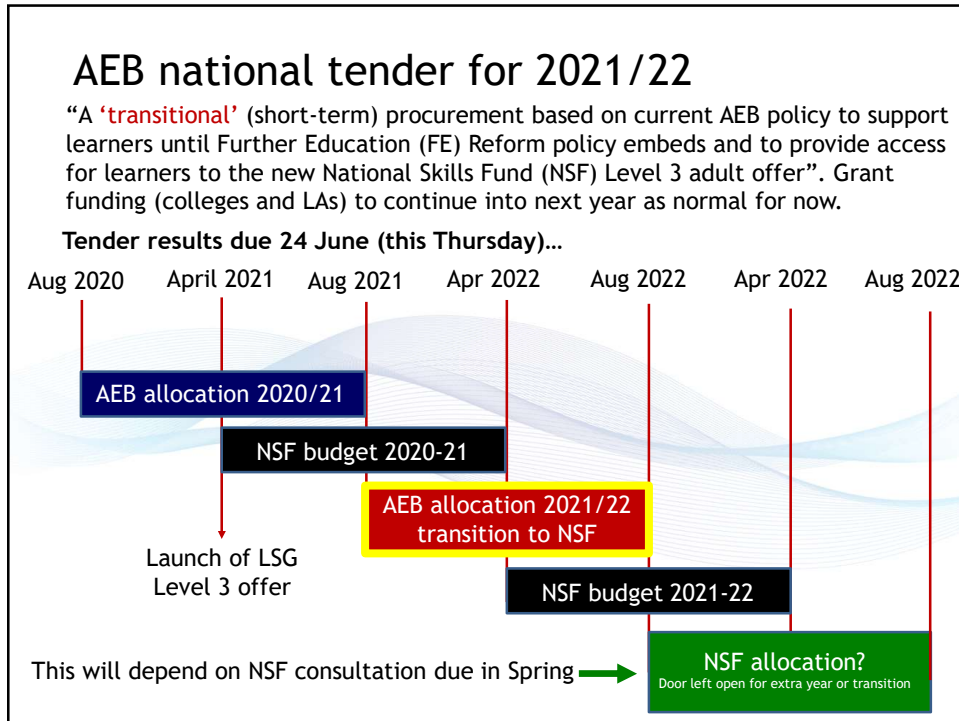
3. Providers must have due regard to the skills analysis and priorities of Local Enterprise Partnership(s) and their Skills Advisory Panels.

White paper future ... “Give employers a central role working with further education colleges, other providers and local stakeholders to develop new Local Skills Improvement Plans which shape technical skills provision so that it meets local labour market skills needs”

<https://feweek.co.uk/2021/01/21/fe-white-paper-sets-out-ambitious-reforms/>



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AEB national tender for 2021/22

“The Services to be delivered under the Contracts will support Covid-19 recovery and will be focused to support skills which boost productivity and enhance the adult skills system. These specifically are:


“**National Skills Funding Level 3 adult offer:** a new offer to allow all adults to access a first fully-funded Level 3 qualification in high-value subjects and in priority areas, above the current age cap of 23. This is part of the government’s Lifetime Skills Guarantee. Delivery of the Level 3 adult offer to Learners aged 24 and over will be ringfenced and not subject to the ESFA AEB Funding flexibilities.

“**Expansion of DWP’s Sector-Based Work Academy Programme (SWAP):** there is a need to focus on measures that support the unemployed into work. SWAP does this directly through Job Centres and their work coaches. SWAP helps employers with immediate and future job needs by upskilling job seekers to fill locally identified vacancies. A SWAP placement has three main components: pre-employment training, work experience placements and a guaranteed job interview. The AEB funds the pre-employment training component.”

No requirement to deliver these - but they are being prioritised

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AEB funding documents for 2021/22




ESFA funded adult education budget (AEB): funding rules 2021 to 2022

For the 2021 to 2022 funding year (1 August 2021 to 31 July 2022)
This document sets out the funding rules that apply to all providers of education and training who receive AEB funding from the Education and Skills Funding Agency

Version 1 May 2021

1



ESFA funded adult education budget funding rates and formula 2021 to 2022

Version 1

May 2021

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

<https://www.gov.uk/government/publications/adult-education-budget-aeb-funding-rates-and-formula-2021-to-2022>

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Funding rule summary of changes (1 of 3)

Section	Paragraph	Change
Devolution of adult education functions	Page 6	Section updated to reflect new Orders in relation to Barnsley, Doncaster, Rotherham, Sheffield Combined Authority and West Yorkshire Combined Authority. Paragraphs related to nationally funded Special Designated Institutions have been removed as support has now ended (except for continuing learners who have not completed their learning by 31 July 2021 but started before that date).
Who we fund	27	Position regarding continuing learners has been updated.
Residency eligibility	Page 13	Updated to reflect current policy.
Recognition of Prior learning	69 and 70	Paragraphs updated to reflect current position on prior learning.
Contracting	Paragraphs 80 to 117 of 2020 to 2021 AEB rules	The section has been removed. The Register of Training Organisations is being formally decommissioned on 31 July 2021 subcontracting rules will be published separately in the spring term at: Post-16 education subcontracting: using funding to offer education and training .
Local Flexibility and legal entitlements	Para 157 of 2020 to 2021 AEB rules	The para that referred to eligible 19-year olds being able to access qualifications from the 'One year skills offer for 18-19-year olds' list has been deleted. Providers cannot enrol new learners on this offer from 1 August 2021.

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Funding rule summary of changes (2 of 3)




Section	Paragraph	Change
Government contribution table	Page 29 and 30	The Government contribution table has been simplified and information is now presented by the different age groups (19 to 23 and 24+).
Unemployed	120 and 121	This section now reflects updates to the thresholds.
Learners in receipt of low wage	122.2	Updated to reflect the National Minimum Wage and National Living Wage rates - GOV.UK (www.gov.uk) .
High Value Courses – One Year Skills Offer	Paragraphs 166 – 168 of the 2020 to 2021 rules.	Amended information box to confirm the status of the one-year skills offer. As the offer will not be available for learners from 1 August 2021 we have removed the details from the 21 to 22 rules.
Full Level 2 and Full level 3	131 and 133	Paragraphs updated for simplification.
Non-regulated learning	143.6	Paragraph has been updated for clarity.
Hardship	205	Para amended to confirm cash payments can be made to asylum seekers.
Traineeships	Page 48	Information box amendments now reflect the fact that the: <ul style="list-style-type: none"> - new claims process has now been launched - expansion of new flexibilities will continue throughout the 2021 to 2022 academic year to July 2022.
Traineeships - Work placement	227	Information added for clarity where the core aim does not occupy the majority of hours.
English and maths, ESOL or digital skills	233	Updated for clarity.

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Funding rule summary of changes (3 of 3)



Section	Paragraph	Change
Annex A – Eligibility of funding	Page 53	Updated
Glossary	Page 56	<p>Definitions added for:</p> <ul style="list-style-type: none"> - European Economic Area (EEA) added. - Find a learning aim <p>Definition for 'The Hub' has been deleted as this has been replaced with 'Find a learning aim'.</p> <p>Definition of 'Low age threshold' has been updated to reflect the new National Living Wage rates.</p>

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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2. AEB devolution - key differences from ESFA and changes for 2021/22

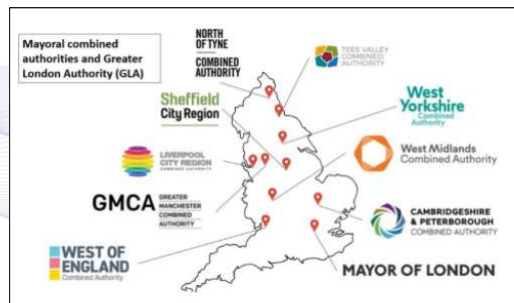
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AEB devolution

10 Mayoral Combined Authorities (incl. GLA) - responsible for setting funding rules and rates (but still a single ILR). Eligibility based on learning start date postcode (residency)

Sheffield City Region (incl. Barnsley, Doncaster and Rotherham) and West Yorkshire become responsible for administering the AEB from 1 August 2021



<https://www.gov.uk/guidance/adult-education-budget-aeb-devolution>

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AEB allocations

Combined Authority	AY 2020/21	AY 2021/22		
	FY 2021-22 Payment			FY 2022-23 Payment ^{1,2}
	Apr to Jul	Aug to Mar	Total	Apr to Jul
Barnsley, Doncaster, Rotherham and Sheffield ^{3,4}	£0	£24,623,098	£24,623,098	£14,667,700
Cambridgeshire and Peterborough	£4,675,802	£7,495,025	£12,170,827	£4,464,769
Greater London Authority (on behalf of the Mayor of London) ⁵	£124,456,588	£199,154,548	£323,611,136	£118,635,903
Greater Manchester	£37,607,624	£60,292,079	£97,899,703	£35,915,851
Liverpool City Region	£20,867,075	£33,388,169	£54,255,244	£19,889,255
North of Tyne	£9,048,929	£14,759,370	£23,808,299	£8,792,123
Tees Valley	£11,959,127	£19,132,268	£31,091,395	£11,397,047
West Midlands	£51,025,918	£82,520,732	£133,546,650	£49,157,410
West Yorkshire ⁴	£0	£40,797,949	£40,797,949	£24,302,883
West of England	£5,986,118	£9,587,011	£15,573,129	£5,710,961
Devolved Total	£265,627,181	£491,750,249	£757,377,430	£292,933,902

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/986533/WY_S31_Letter_2021-22_Financial_Year.pdf

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Example of devolved funding docs & different rates and requirements from ESFA

Paragraph 216 to 217 – Additional text on London Factor

London factor

216. To support a renewed focus on lifelong learning and progression from lower levels up to level 3 and beyond, a 'London factor' 10% funding uplift will be applied to the weighted base rate of all AEB-fundable qualifications up to and including level 2. This additional investment at lower levels of learning will support providers to offer more holistic and targeted support for learners. This support could include greater pastoral care, additional tailored support, employment coaching, and other delivery that 'wraps' around learning and addresses the challenges many learners with lower level skills face in progressing in education and training.

217. As a condition of this additional investment, providers will be required to submit a three-year delivery plan demonstrating how their proposed provision will meet the objectives of the London Recovery Missions. Further guidance will be issued in Spring 2021.

<https://www.london.gov.uk/what-we-do/skills-and-employment/skills-londoners/adult-education-budget/information-gla-aeb-providers#acc-1-62807>

45. From 2020/21, we will provide a 10% funding uplift ("London Factor") to the weighted base rate of all AEB formula-funded qualifications up to and including Level 2. The funding uplift will be paid as an increase to the AEB grant funding baseline allocations for this funding year. Providers will be required to submit an aggregated claim for the additional earnings for all learners in scope for the uplift through the EAS. The amount claimed must be calculated by multiplying earnings generated by the national AEB funding formula in the *Occupancy Report* for eligible learning aims by 10%, to establish the amount of excess funding earned through the uplift.

https://www.london.gov.uk/sites/default/files/final_draft_funding_rates_and_formula_2021-22.pdf

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Low wage differences

ESFA

£17,374.5
(but could change from April 2022)

Low wage threshold	The threshold of £17,374.50 as an annual gross salary, is based on the National Living Wage (25 and over hourly rate) of £8.91, on the assumption of a 37.5 hour contract with paid statutory holiday entitlement (therefore, £8.91 multiplied by 37.5 hours per week, multiplied by 52 weeks per year). The 25 and over hourly rate of £8.91 came into force in April 2021 and is updated each year. Providers will need to check they are applying the correct rate following the annual change in April.
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GLA

£21,157.50
(but could change from November 2021)

Learners in receipt of low wage

162. You may fully fund learners who are employed, or self-employed, and would normally be co-funded, up to and including level 2. You must be satisfied the learner meets both of the following:
- 162.1 is eligible for co-funding; and
 - 162.2 earns less than the London Living Wage as an annual gross salary on the date of the learner's learning start date. Learners will be deemed to earn less than the London Living Wage as an annual gross salary if they earn less than the hourly London Living Wage at that point in time, multiplied by 37.5 (hours per week), multiplied by 52 (weeks per year). Based on the 2020/21 London Living Wage, this would be £21,157.50.

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Also - beware different ILR codes for

SoF = Source of Funding

DAM = Devolved Area Monitoring

LDM = Learning Delivery Monitoring

Example for GLA

Low Waged learners

All providers who fully fund employed learners need to code learning delivery with

DAM code 010 Devolved AEB Low Wage Pilot

This DAM code can be used for learners with evidenced low wage, who are:

- Employed or self-employed; and
- Eligible for co-funding; and
- Earning less than the London Living Wage on their learning start date; and
- Enrolled to learning up to and including Level 2.

The ILR must be coded as follows:

ILR Field	ILR code	Notes
EmpStat	10	Employment Status at the start of the learning aim
FFI	1	Fully Funded learning aim
DAM	023	Prevents ILR validation error
DAM	010	Low Waged learner earning less than London Living Wage

The London Living Wage threshold is updated annually, usually in November. The 2020/21 rate applies to starts from 9 November 2020 onwards, as detailed in the [GLA AEB Action Note – London Living Wage](#). For starts prior to that date, the 2019/20 threshold would have applied.

https://www.london.gov.uk/sites/default/files/gla_aeb_technical_guidance_note_2021_v2_clean.pdf

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3. Funding eligibility and evidence

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Funding rules

“This document forms part of the terms and conditions of funding and you must read them in conjunction with your funding agreement. You must operate within the terms and conditions of the funding agreement, these rules, and the Individualised Learner Record (ILR) specification. If you do not, you are in breach of your funding agreement with us.”


Education & Skills
Funding Agency

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<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Procured ESFA-funded AEB provision

95. From this year you must use the LDM code 379 for all learning aims delivered on the procured ESFA funded AEB contracts that start for delivery in August 2021. You cannot record learning delivery that started prior to August 2021 against this contract. This LDM code distinguishes between the procured and the grant funded ESFA contracts so we can identify which contract will pay for it.


96. The previous procured AEB contract for starts from November 2017 is no longer eligible to receive funding against in the 2021 to 2022 year either for new starts or continuing learners. You must complete all learning associated with this contract by 31 July 2021 as set out in the funding rules 2020 to 2021.

97. To record learning delivered on procured traineeships you must use the LDM code 377 ('19-24 traineeship (2020 procurement)') for all learning aims delivered on associated ESFA funded traineeships so we can identify which contract will pay for it.

98. You should continue to record ongoing learning on traineeships starting since February 2021 using this LDM code.

99. The table below outlines the LDMs you should use to indicate which contract you wish to use to pay for different types of adult delivery and the times in the year these are valid from.

type of learning	learning starting on or before 31 July 2021	learning starting from 1 August 2021
adult traineeship delivered under a AEB non-procured contract		No LDM
adult traineeship delivered under a procured traineeship contract which started February 2021 or later		LDM 377 (only eligible for starts from 1 February 2021)
ESFA AEB & traineeships procured learning, starts from November 2017		ineligible in 2021 to 2022
ESFA AEB non-procured		no LDM
ESFA AEB Procured learning, starts from August 2021	ineligible for starts	LDM 379
procured learner under the Level 3 adult offer	ineligible for starts	LDM 378 & LDM 379
non-procured learner under the Level 3 adult offer		LDM 378 (only eligible for starts from 1 April 2021)



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Residency eligibility

Residency eligibility	13
Individuals with certain types of immigration status and their family members	15
Extension or variation of current immigration permission	15
Children of Turkish workers	16
Asylum seekers	16
Persons granted stateless leave	16
Individuals who are not eligible for funding	17

“‘Residency eligibility’ section has been updated for EU and EEA learners”

Identify an eligibility lead at the provider to make the final decision and liaise with Home Office where needed



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Evidence requirements

Evidence	23
Evidence Pack	23
Confirmation and signatures	24
Starting, participating and achieving	25
Leaving learning	25
Individualised learner record (ILR)	26
Self-declarations by learners	26

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Version 1 May 2021

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

“The evidence pack must contain evidence to support the funding claimed and must be available to us if we need it.”

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New digital quals

Digital entitlement for those aged 19 or older

155. We will fully fund individuals, including individuals who are employed, aged 19 or older, assessed at below level 1, as part of their legal entitlement on the day they start the following qualification: Essential Digital Skills qualification (EDSQ up to and including level 1).

156. We will fully fund non-regulated learning for learners, including those assessed at pre-entry level with significant learning difficulties and/or disabilities as part of a personalised learning programme, where assessment has identified the learner cannot undertake provision identified in paragraph 155.

157. You must:

- 157.1. carry out an initial assessment using current assessment tools based on the national standards for essential digital skills
- 157.2. carry out an appropriate diagnostic assessment to inform and structure a learner's learner file to use as a basis for a programme of study
- 157.3. enrol the learner on a level above that at which they were assessed and be able to provide evidence of this
- 157.4. deliver ongoing assessment to support learning
- 157.5. record the evidence of all assessment outcomes in the evidence pack

158. The assessments must place a learner's current skills levels within the level descriptors used for the RQF.

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

Evaluation progress

The status, as at 17 June 2021, of each of the qualifications that awarding organisations have notified Ofqual they are developing is as follows.

Organisation	Entry level	Level 1
Assentis	5	5
BCS for life Details	3	3
BCS for work Details	5	5
Gateway	5	5
NCFE	5	5
NOCN	5	5
OCN London: daily life Details	5	5
OCN London: work life Details	3	3
OCR	Submissions withdrawn	
Pearson	5	5
The Learning Machine	5	5

Key

1. Awarding organisation is yet to submit materials to Ofqual for technical evaluation
2. Currently undergoing technical evaluation by Ofqual
3. Has been through technical evaluation by Ofqual and is back with awarding organisation
4. Resubmitted to Ofqual and undergoing technical evaluation
5. Has completed Ofqual process of technical evaluation

We will update this table on a weekly basis, so that centres have a clearer idea about when they can expect to receive final versions of materials from awarding organisations.

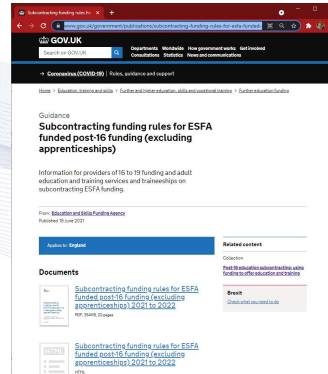
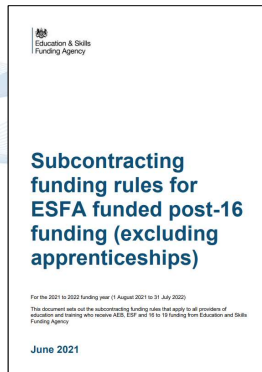
<https://www.gov.uk/government/publications/digital-qualifications-evaluation-progress/essential-digital-skills-qualifications-progress-so-far>

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Subcontracting

- 'Contracting' section has been removed. The Register of Training Organisations is being formally decommissioned on 31 July 2021 and the subcontracting rules will be published separately in the spring term at: [Post-16 education subcontracting: using funding to offer education and training.](#)

"From the date of publication of these rules to the commencement of the 2022 to 2023 funding year we will be implementing a series of reforms that will strengthen ESFA's oversight of the approach to subcontracting in the sector."



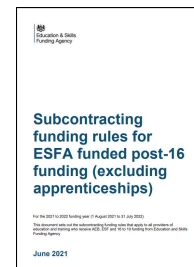
<https://www.gov.uk/government/publications/subcontracting-funding-rules-for-esfa-funded-post-16-funding-excluding-apprenticeships>

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Subcontracting

"We have been clear from the outset that we wish to see a significant reduction in the overall volume of subcontracted delivery in the sector. To this end during the autumn 2020 term all providers were asked to produce a plan to reduce the amount of subcontracting in order to meet the 25% cap by the 2022 to 2023 funding year. Where a provider does not think it can make a reduction, it should have submitted an exemption case."

"We expect these policies, including the rationale, to be reviewed by you ahead of each funding year, and signed off by those charged with overall responsibility for your organisation in your governance structure. Once reviewed, the updated policy must be published by 31 October each year."



<https://www.gov.uk/government/publications/subcontracting-funding-rules-for-esfa-funded-post-16-funding-excluding-apprenticeships>

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Subcontracting

Subcontracting reporting and external audit requirements

41. You must record subcontracted provision in line with the published guidance for the school census and the individualised learner record (ILR) data returns.
42. If you return ILR data, in accordance with your ESFA contract (s) you must submit a fully completed subcontractor declaration by the dates given to you by ESFA. This will be at least twice during the funding year. If you do not subcontract you must still provide a nil return to confirm this.
43. You must also update your subcontractor declaration if your subcontracting arrangements change during the year. This is done via your [MyESF account](#)
44. In accordance with your ESFA contract(s) you must obtain an annual report from an external auditor if the aggregate total of all subcontractors delivering ESFA funded provision on your behalf exceeds or is anticipated to exceed £100,000 in any single funding year. Whilst otherwise excluded from these rules, for the purposes of calculation of aggregate total of subcontractor delivery you must include delivery of apprenticeships.
45. You must supply us with a certificate signed by an external auditor and an authorised signatory to confirm you have received a report that provides assurance on the arrangements to manage and control your delivery subcontractors. You must send a copy of the external auditor's final report including the action plan of agreed recommendations to subcontracting.requirements@education.gov.uk. ESFA will review this as part of our overall assurance arrangements. The following link includes the certificate you must return, as well as guidance and information on expectations: [Providing external assurance on subcontracting controls - GOV.UK](#).

Subcontracting funding rules for ESFA funded post-16 funding (excluding apprenticeships)

For the 2021/22 funding year and 27 August 2021 to 31 July 2022.
This document contains the funding rules for the delivery of post-16 education and skills funding.
June 2021

<https://www.gov.uk/government/publications/subcontracting-funding-rules-for-esfa-funded-post-16-funding-excluding-apprenticeships>

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4. Full-funding entitlements and local flexibilities



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Legal entitlements = fully funded

Legal entitlements

ESFA funded AEB includes support for 4 legal entitlements to full funding for eligible adult learners.

These entitlements are set out in the [Apprenticeships, Skills and Children Learning Act 2009](#), and enable eligible learners to be fully funded for the following qualifications:

- English and maths, up to and including level 2, for individuals aged 19 and over, who have not previously attained a GCSE grade 4 (C), or higher, and/or
- first full qualification at level 2 for individuals aged 19 to 23, and/or
- first full qualification at level 3 for individuals aged 19 to 23
- essential digital skills qualifications, up to and including level 1, for individuals aged 19 and over, who have digital skills assessed at below level 1

“If an individual meets the legal entitlement eligibility criteria, you must not charge them any course fees.”

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Local flexibility

ESFA funded AEB also supports delivery of flexible tailored provision for adults, including qualifications and components of these **and/or** non-regulated learning, up to level 2 – we call this 'local flexibility'.

Local flexibility provision either is fully or co-funded, depending on the learner's age, prior attainment and circumstances. Please refer to the '[level of government contribution](#)' table on pages [29](#) and [30](#) and paragraphs 120 to 173 for learner eligibility. Where appropriate for the learner, you can deliver local flexibility provision alongside a legal entitlement qualification.

Local flexibility and legal entitlements

117. Learners aged 19 to 23 who progress to their first full level 2, must only enrol on a qualification we have approved for funding from the [Qualifications website](#).

118. Learners aged 19 to 23 and aged 24 and over, who have already achieved at level 2, or above can undertake learning up to and including level 2 qualifications from the local flexibility offer or qualifications for the level 2 legal entitlement available on the [Qualifications website](#) or [Find a learning aim](#).

119. Learners aged 24 and over who have not achieved a level 2 qualification can undertake learning up to and including level 2 qualifications from the local flexibility offer or qualifications in the level 2 legal entitlement list available on the [Qualifications website](#) or [Find a learning aim](#).

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Legal entitlement eligible qualifications

New website

Spreadsheet download

<https://www.qualifications.education.gov.uk/Home/Downloads>

But you still need to go to FALA

<https://www.qualifications.education.gov.uk/Search>

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Learner contributions

Government contribution table 1: 19 to 23-year-olds (age exception on traineeships 19 to 24-year olds)

The level of government contribution for ESFA funded AEB is as follows.

Provision	19 to 23-year-olds	Notes
English and maths, up to and including level 2 (paras 148 to 154)	Fully funded	Must be delivered as part of the legal entitlement qualifications list
Essential Digital Skills Qualifications up to and including level 1 (paras 155 to 158)	Fully funded	Must be delivered as part of the Digital legal entitlement qualifications list
First full level 2 (excluding English & maths and Digital) (paras 131 to 132)	Fully funded	First full level 2 must be delivered as part of the legal entitlement qualifications
Learning aims to progress to a full level 2 – up to and including level 1 (para 116)	Fully funded	Must be delivered as entry or level one provision from local flexibility
Level 3 legal entitlement (learners first full L3 (paras 133 to 136))	Fully funded	First full level 3 must be delivered as part of the legal entitlement qualifications
Level 3 adult offer (paras 128 to 130)	Fully funded	Learners without a full level 3 or above can access a qualification on the level 3 adult offer qualification list
Level 3 Advanced Learner Loan	Loan funded	A learner has already achieved a full level 3 (Advanced learner loans funding rules)
Traineeship (16 to 24-year olds) (section 3)	Fully funded	<ul style="list-style-type: none"> 16- to 18-year-old learners must be eligible under the ESFA's young people's provisions requirements Excludes flexible element where funding depends on age and level Note this offer goes up to 24 years olds
English for speakers of other languages (ESOL) learning up to and including level 2 (paras 163 to 166)	Fully funded	For those eligible through unemployed (paras 120 to 121) or on a low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)
Learning aims up to and including level 2, where the learner has already achieved a first full level 2, or above (para 118)	Fully funded	For those eligible through unemployed (paras 120 to 121) or on a low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)

Government contribution table 2: 24+

The level of government contribution for ESFA funded AEB is as follows.

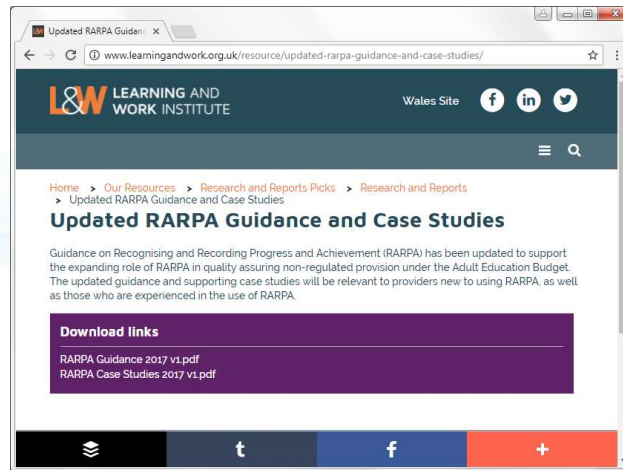
Provision	24+	Notes
English and maths, up to and including level 2 (paras 148 to 154)	Fully funded	Must be delivered as part of the legal entitlement qualifications list
Essential Digital Skills Qualifications up to and including level 1 (paras 155 to 158)	Fully funded	Must be delivered as part of the legal entitlement qualifications list
Level 2 (excluding English and maths) (paras 131 to 132)	Fully funded	For those eligible through unemployed (paras 120 to 121) or on a low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)
Learning to progress to level 2 (para 119)	Fully funded	For those eligible for their first full level 2 through unemployed (paras 120 to 121) or low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)
Level 3 adult offer (paras 128 to 130)	Fully funded	Learners without a full level 3 or above accessing a qualification on the level 3 adult offer qualifications list
Level 3 (paras 133 to 136)	Loan funded	A learner has achieved a full level 3 (Advanced learner loans funding rules)
English for speakers of other languages (ESOL) learning up to and including level 2 (paras 163 to 166)	Fully funded	For those eligible through unemployed (paras 120 to 121) or on a low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)
Learning aims up to and including level 2, where the learner has already achieved a first full level 2, or above (para 119)	Fully funded	For those eligible through unemployed (paras 120 to 121) or on a low wage (paras 122 to 124)
	Co-funded	For those who do not meet the definition of unemployed (paras 120 to 121) or do not meet the eligibility criteria for low wage (paras 122 to 124)

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Recognising and Recording Progress and Achievement (RARPA)

For legitimately recording whether a learner passed a course that doesn't have a qualification ('non-regulated')



<http://www.learningandwork.org.uk/resource/updated-rarpa-guidance-and-case-studies/>

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“The RARPA process sets out clear the expectations for ensuring effective, reliable and valid assessment, recording of assessment, and capturing progress over each learner's journey through non-regulated provision”



<http://www.learningandwork.org.uk/resource/updated-rarpa-guidance-and-case-studies/>

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SWAPs

High DWP priority with rapid growth plans (jointly run with DfE)

Sector-based Work Academy Programme (SWAP)

The Sector-based Work Academy Programme ([SWAP](#)) is designed to help Jobcentre Plus claimants build confidence to improve their job prospects and enhance their CV, whilst helping employers in sectors with current local vacancies to fill them. SWAP can last up to 6 weeks and has 3 main components:

- pre-employment training
- work experience placement
- a guaranteed job interview

The scheme runs in England (and Scotland). Participants remain on benefits throughout their placement.

Only the pre-employment training element in England can be funded through AEB local flexibility, and normally lasts 2 to 3 weeks. Jobcentre Plus fund the other components and will pay any travel and childcare costs whilst claimants are on the work experience placement.

FE providers are part of the SWAP local design process and are informed when to expect referrals and how many.

125. You must record in the evidence pack that you have seen the claimant's SWAP referral notification issued by Jobcentre Plus setting out start date and times for their ESFA AEB funded pre-employment training.

126. To claim full funding for claimants referred to SWAP pre-employment training you must use LDM code 375 and complete the [Benefit Status Indicator \(BSI\)](#) to identify the claimant is in receipt of Jobseeker's Allowance (BSI 1), Universal Credit (BSI 4), or Employment and Support Allowance (all categories) (BSI 5).

https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022

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Level 3 adult offer

Guidance

Qualifications in new funded offers

Information on new funded qualification offers, which may sit alongside our existing offers for young people and adults.

From: Education and Skills Funding Agency
Published: 9 December 2020
Last updated: 14 May 2021 — [View updates](#)

Documents

[List of level 2 and level 3 qualifications included in the high value courses for school and college leavers: a one year offer for 16 and 19 year olds](#)

001: 55:308
[View document](#) [Download document](#)

[List of qualifications included in the National Skills Fund – level 3 adult offer](#)

001: 55:308
[View document](#) [Download document](#)

Details

We have published lists of qualifications that we will fund through new funded offers, which may sit alongside our existing offers for young people and adults.

- high value courses for school and college leavers: a one year offer for 16 and 19 year olds
- National Skills Fund – level 3 adult offer

You can find more information about the [high value courses for school and college leavers offer](#) and the [level 3 adult offer](#).

Free level 3 qualifications for adults

Any adult aged 19 and over who wants to achieve their first full [level 3 qualification](#), which is equivalent to an advanced technical certificate or diploma, or a level 3, can now access hundreds of fully-funded courses.

This offer is part of the [Lifetime Skills Guarantee announced by the Prime Minister](#) in September 2020. It represents a long-term commitment to remove the age constraints and financial barriers for adults looking for their first level 3 qualification so that they can access training to enable them to progress.

Courses are available in a variety of lengths, to support adults to get the skills they need to boost their careers.

19 to 23 year olds can also access additional courses for free through their [link to employment to a first full level 3 qualification](#).

Sector subject areas and courses available

The qualifications have been carefully chosen to help adults improve their job prospects. They have good wage outcomes and will address skills needs in the economy, delivering a wide range of skills in many jobs and sectors.

See the list of the [qualifications included in the offer](#) for more information. You can also find them by using the 'qualifications offer' filter in the [qualifications search service](#).

Qualifications are currently available in:

- engineering
- building and construction
- health and social care
- childcare and early years
- digital
- business management
- accounting and finance
- public services
- medicine and dentistry
- environmental conservation
- forestry
- agriculture
- horticulture
- mathematics and statistics
- science
- teaching and lecturing
- warehousing and distribution
- manufacturing technologies
- transportation operations and maintenance

We will keep the qualifications list and sector-subject areas in scope under review to ensure this offer responds to changing labour market needs.

Awarding organisations, National Combined Authorities and the Greater London Authority can suggest additions to the list through the [qualifications funding approval process](#) if they meet the criteria.

Funding arrangements for providers

[View details](#)

https://www.gov.uk/guidance/national-skills-fund#level-3-adult-offer

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For more workshops visit www.lsect.com

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New funding rates for Level 3 Adult offer

Level 3 adult offer

66. We are offering additional funding for [adults on their first level 3 qualification](#) as defined in the [ESFA funded AEB funding rules](#). We will fund this learning like we fund other AEB delivery, with the following exceptions:

- learners eligible for this offer can be fully funded; we have changed the validation rules to allow this, for learners who are not already eligible for full-funding
- we will increase the weighted rate by £600 for eligible aims in [category code 45](#) ('national skills fund level 3 adult offer rate 1') or by £150 for eligible aims in [category code 46](#) ('national skills fund level 3 adult offer rate 2')
- we will increase the £150 or £600 by the area cost uplift and/or disadvantage uplift if applicable to that learning aim
- to calculate learner-level capping over the 2021 to 2022 funding year, we use the unweighted rates without the £150 or £600 increase

67. Learners aged 23 or younger (based on their age on the day they start the qualification) taking their first level 3 qualification utilising the level 3 adult offer will be funded through your procured or non-procured ESFA AEB funding lines.

68. Learners aged 24+ (based on their age on the day they start the qualification) taking their first level 3 qualification utilising the level 3 adult offer will be funded through your procured or non-procured national skills offer funding lines.

69. You should use the LDM code 378 (level 3 adult offer) in the ILR to indicate your learning aims that are eligible within this offer.

<https://www.gov.uk/government/publications/adult-education-budget-aeb-funding-rates-and-formula-2021-to-2022>

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5. Funding formula and rates for full and co-funding (incl. Single Activity Matrix)

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EVENTS, CONSULTANCY & TRAINING

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Funding rates and formula

“This document sets out the principles and features of our funding system for the 2021 to 2022 funding year (1 August to 31 July) for ESFA funded adult education budget (AEB) delivery and 16 to 18 traineeships. We may make changes to these principles and features during the funding year.”

“We have changed the disadvantage uplift factors in 2021 to 2022 as we will be using the index of multiple deprivation 2019, rather than the older 2015 IMD that we used in 2020 to 2021”



<https://www.gov.uk/government/publications/adult-education-budget-aeb-funding-rates-and-formula-2021-to-2022>

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AEB fully funded formula

The base rate and programme weighting form part of a larger formula



PW = Set for each aim based on the Sector Subject Area assigned to the aim. They are A (1), B (1.12), C (1.3), D (1.6) and E (1.72)

DU = Based on learner's home postcode. If in one of the 27% most deprived areas (based on IMD 2019 in 21/22) then the DU is between 1.084 and 1.336

ACU = Based on delivery location, this is a South East weighting which rises the closer the delivery to central London (1.2 max)

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The base rate for the relevant learning aim comes via single activity matrix (SAM)

Funding bands - hours	Type	Base rate (1 PW)	Low rate (1.12 PW)	Medium rate (1.3 PW)	High rate (1.6 PW)	Specialist rate (1.72)*
Up to 2	Very small	£14	£16	£18	£22	£24
3 to 4		£21	£24	£27	£27	£36
5 to 6		£35	£39	£46	£46	£60
7 to 12	Small	£50	£56	£65	£80	£86
13 to 20		£100	£112	£130	£160	£172
21 to 44		£150	£168	£195	£240	£258
45 to 68	Medium	£300	£336	£390	£480	£516
69 to 92		£450	£504	£585	£720	£774
93 to 100		£600	£672	£780	£960	£1,032
101 to 196	Large	£724	£811	£941	£1,159	£1,246
197 to 292		£1,265	£1,417	£1,645	£2,025	£2,176
293 to 388		£1,987	£2,225	£2,583	£3,179	£3,417
389 to 580	Very large	£2,573	£2,882	£3,345	£4,117	£4,425
581 to 1060		£4,170	£4,670	£5,421	£6,671	£7,172
1061 or more		£6,602	£7,395	£8,583	£10,564	£11,356

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Other funding rates

Qualification type	PW A Base (unweighted)	PW B Low	PW C Medium	PW D High	PW E or G Specialist ²
GCE AS-level	£724	£811	£941	£1,159	-
GCE A-level	£1,987	£2,225	£2,583	£3,179	-
GCSE	£724	£811	£941	£1,159	-
GCSE short course	£300	£336	£390	£480	-
Functional skills in English	£724	-	-	-	-
Functional skills in entry level maths	-	-	£941	-	-
Functional skills in IT	-	£336	-	-	-
Access to Higher Education	£3,022	£3,384	£3,928	£4,835	£5,197

BUT ALWAYS CHECK LARS/FALA

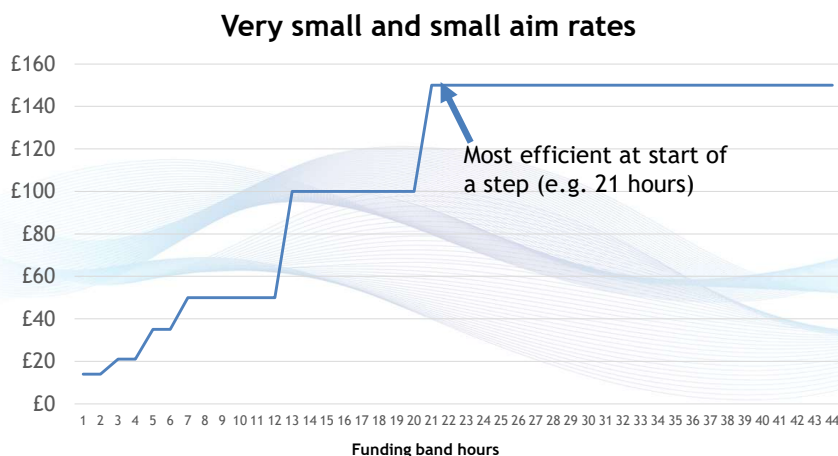
The single work-placement and work-preparation rate for traineeships of £1500 (plus £1000 employer incentive)

Annual funding cap of £4,400 for each learner each year, before weightings

Learning Support a fixed monthly rate of £150

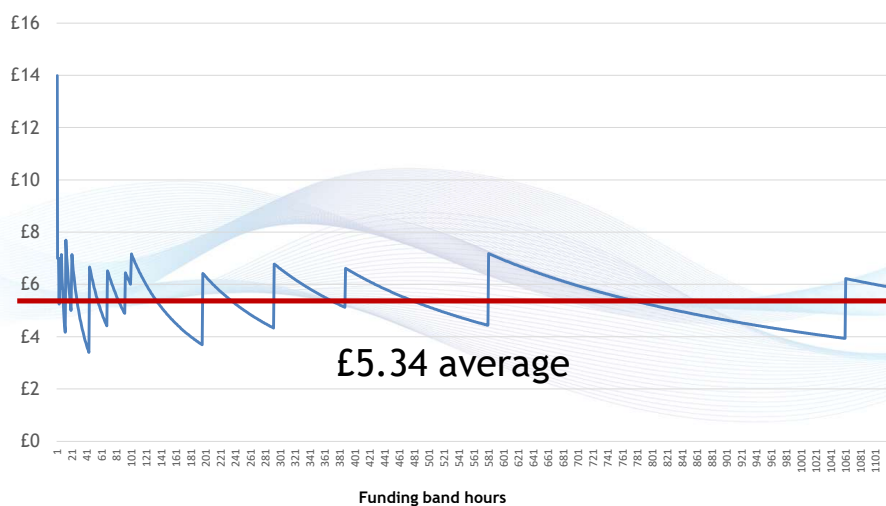
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Example of how bands are efficiency steps



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Funding per SAM hour



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SAM high and low efficiency points

Funding band hours	Rate	Bottom of band per hour £	Top of band per hour £	Value of extra hour at boundary
Up to 2	£14	£14.00	£7.00	£7
3 to 4	£21	£7.00	£5.25	£14
5 to 6	£35	£7.00	£5.83	£15
7 to 12	£50	£7.14	£4.17	£50
13 to 20	£100	£7.69	£5.00	£50
21 to 44	£150	£7.14	£3.41	£150
45 to 68	£300	£6.67	£4.41	£150
69 to 92	£450	£6.52	£4.89	£150
93 to 100	£600	£6.45	£6.00	£124
101 to 196	£724	£7.17	£3.69	£541
197 to 292	£1,265	£6.42	£4.33	£722
293 to 388	£1,987	£6.78	£5.12	£586
389 to 580	£2,573	£6.61	£4.44	£1,597
581 to 1060	£4,170	£7.18	£3.93	£2,432
1061 or more	£6,602	£6.22	-	-

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AEB co-funded formula

Funding is reduced by half the unweighted base rate

$$\left(\text{BR} \times \text{PW} \times \text{DU} \times \text{ACU} \right) - \left(\text{BR} \times 0.5 \right) = \text{Stack of coins}$$

The co-funded amount deducted from funding is half the value listed for that learning aim on LARS/FALA

So if you choose to set fees on this level, it is the same for that base rate for all learners across England

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Qualifying period for funding

An learning aim will only be counted if it is funded, and will only be funded if it is eligible AND passes the qualifying period

The length of the learning aim is defined by the calendar days (including weeks) between the start date and the end date in the ILR

Length of learning aim	Qualifying period
168 days (24 weeks)	42 days (6 weeks)
14 to 167 days (2 - 24 weeks)	14 days (2 weeks)
Fewer than 14 days (under 2 weeks)	1 day (1 attendance)

If a learning aim has an actual end date before the qualifying period and is an early completer then the aim is counted and all of the funding is earned

If a learning aim has an actual end date before the qualifying period and is not completed then the aim is not counted and no funding is earned

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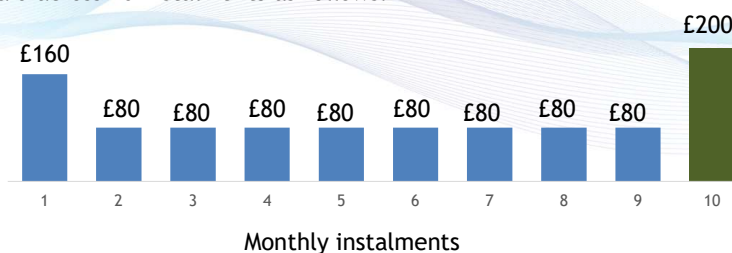
Monthly funding instalments

Funding for each learning aim is paid in monthly instalments according to the start and end dates in the ILR, based on a standard formula

On programme payments are 80% of the weighted co- or full-funding and paid monthly (with double in month one). This is paid for the months before their actual end date.

Achievement payment for remaining 20% paid in the month of the actual end date where the aim is fully achieved

For example, a 9 month learning aim with weighted funding of £1000 would be paid across 10 instalments as follows:



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Job outcome payment (1 of 2)

215. For fully funded learners who are unemployed (including traineeships), we will pay 50% of the achievement payment if they start a job before achieving the learning aim. If the learner then achieves the learning aim, we will pay the remaining achievement payment. The following conditions apply:

215.1. the learner must provide you with evidence through a declaration, that they have a job for at least 16 hours or more a week for 4 consecutive weeks

215.2. where the learner was claiming benefits relating to unemployment, they must also declare that they have stopped claiming these

Learning delivery employment outcome code 1 or 2 in the ILR to claim the payment, and enrolment would be excluded from achievement rates

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Job outcome payment (2 of 2)

Example: £1000 weighted rate pays £900 across 10 instalments where learner completes, doesn't achieve but is eligible for a job outcome payment



Example: £1000 weighted rate pays £580 across 10 instalments where learner withdraws in month six, but is eligible for a job outcome payment



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6. Funding additional hours for ESOL

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English for speakers of other languages (ESOL)

163. We will fully fund individuals aged 19 and over on the day they start their ESOL learning aim where they are unemployed, as set out in paragraphs 120 and 121.

164. We will co-fund all other individuals aged 19 and over on the day they start their ESOL learning aim. Where learners are employed, the low wage flexibility may apply, please refer to paragraphs 122 to 124.

165. We will fund ESOL learning up to and including level 2.

166. Providers offering ESOL qualifications may need to deliver additional learning to individual learners that incurs additional cost above the qualification rate. You can access information on how to do this in the [adult education budget: funding rates and formula 2019 to 2020](https://www.gov.uk/guidance/adult-education-budget-funding-rates-and-formula-2019-to-2020) guidance.

“If you offer English for Speakers of Other Languages (ESOL) qualifications, you may need to deliver additional learning to individual learners that incurs additional costs above the qualification rate. Where additional hours are required, you can record these on the ILR using the ‘Additional delivery hours’ field, as detailed in the ILR specification and the Provider Support Manual.”

<https://www.gov.uk/guidance/adult-education-budget-aeb-funding-rules-2021-to-2022>

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Funding additional hours for ESOL

4.7.12 Additional delivery hours

299. This field records the additional hours delivered for Adult skills funded and ESF funded ESOL units or qualifications where a learner needs additional learning to that funded through the applicable matrix rate.
300. The Additional delivery hours field may be used for approved ESOL units or qualifications and must not be used for non-regulated ESOL or any other ESOL qualifications.
301. For ESOL learning aims which start before 1 August 2015, the Additional delivery hours must not be returned.

<https://guidance.submitlearnerdatabeta.fasst.org.uk/psm>

ILR specification: 2021 to 2022

Additional Delivery Hours: field

Definition

The number of additional guided learning hours required for the learning aim.

Reason required

To enable funding to be calculated.

<https://guidance.submitlearnerdatabeta.fasst.org.uk/ilr/field/addhours>

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Special top-up funding for ESOL

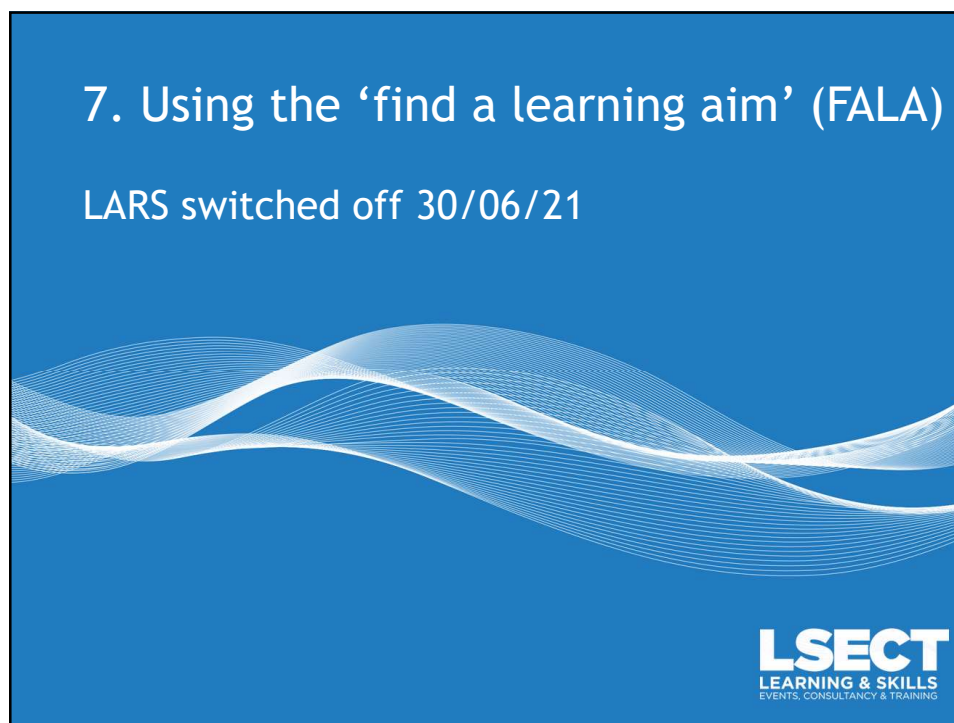
If you deliver more hours for an ESOL qualification than the top of a SAMs hour band you can be funded for the extra

E.g. You deliver 60 hours, on a qualification in band 21 to 44 hours (£150). So you can top up 16 hours.

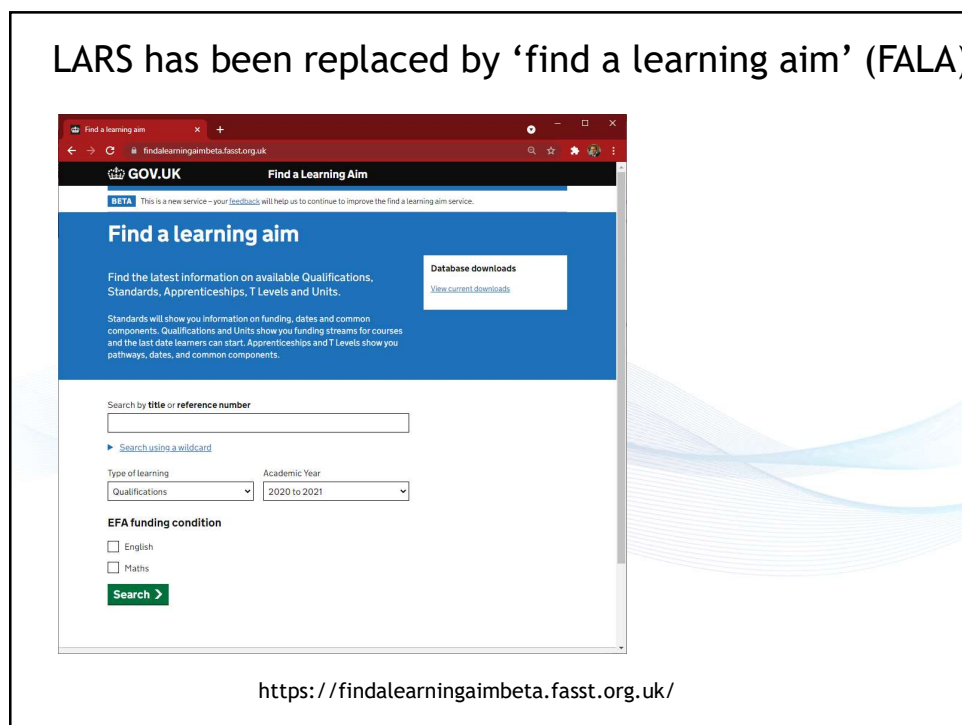
In the ILR you record 16 hours in the 'Additional delivery hours' field

16 hours is in band 13 to 20 hours (£100), so the funding software makes the base rate £250 for your 60 hour course (£150 learning aim + £100 top-up)

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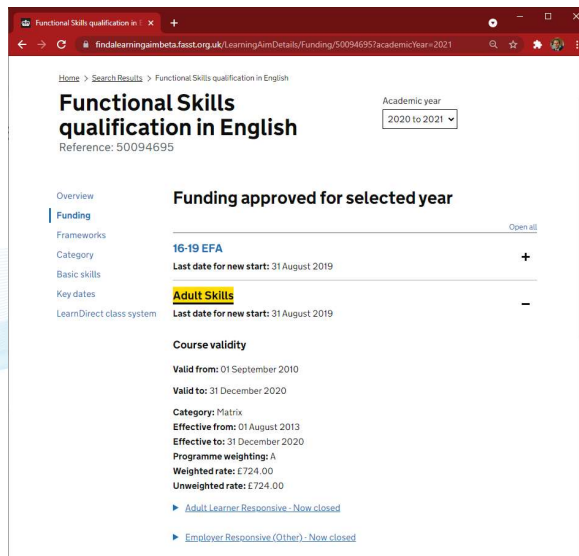


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FALA example



<https://findalearningaimbeta.fasst.org.uk/>

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Local flexibility

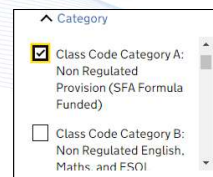
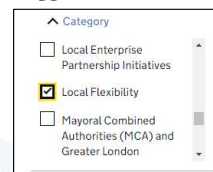
Two types of local flexibility:

1. Qualifications and components/units learning aim must be in the 'Local Flexibility' category.

2. Non-regulated learning aims must be Category A

E.g. Z0001543 for "Non regulated Adult skills formula funded provision, Entry Level, Preparation for Work, 101 to 196 hrs, PW A"

Or Category B for English, ESOL and maths



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Dynamic AEB funding profiler

AEB 2022/23 to 2022/23	Total AEB	Fee Income	Total Income	Total Cost	Total contribution
£35,000	£0	£25,000	£37,250	£40,500	-£1,350

Start month	End month	Learning aim	Course Title	Internal course code	Hours per week	Weeks	Total hours	AEB	Fee Income	AEB + fee Income	Cost	Contribution
Sep-21	Jul-22	£124678	Award in subject 1	qwertux	12.5	36	450	£35,000	£2,250	£37,250	£40,500	£1,200

I will email you a copy of the spreadsheet

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Key planning factors to remember

- > It is a per enrolment funding system (so larger classes usually mean more efficiency)
- > In-year funding methodology (such as disadvantage uplift) makes for fair income and expenditure models, but use averages when planning
- > Not every enrolment will be funded as a start and not every start will complete or achieve
- > Curriculum plans need monitoring and adjustment within academic and ESFA financial year constraints

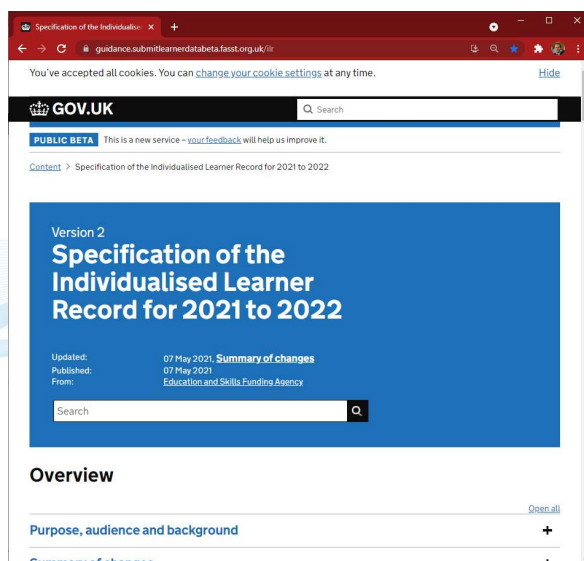
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9. Key ILR issues and related tools in 2021/22 (incl ESFA portals and FIS)

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Individualised Learner Record



<https://guidance.submitlearnerdatabeta.fasst.org.uk/ilr>

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Individualised Learner Record (summary of changes)

<p>Version 1 changes</p> <p>Entity: Prior Attainment Type of change: New entity Details of change: A new Prior attainment entity has been added to improve the data collected for prior attainment. This contains two new fields, Prior Level and Date applies from. The previous Prior attainment field has been removed.</p> <p>Field: Prior Level Type of change: New codes Details of change: Two new codes have been added to the prior attainment code list.</p> <ul style="list-style-type: none"> Level 2 (code 3) Level 3 (code 5) The previous code 'Other qualifications below level 1' has been removed. <p>Field: Prior Level Type of change: Revision Details of change: The prior attainment code list has been renumbered to reflect the updated levels.</p> <p>Field: Prior Level Type of change: Revision Details of change: The Prior attainment levels table has been updated to include Level 2 and Level 3 qualifications.</p>	
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Notes

- This entity is completed when the learning agreement has been agreed between the learner and provider. The prior attainment relevant to the agreement is recorded on the ILR with the start date matching the earliest learning aim start date for the agreement.
- Where all learning activities relating to the agreement have been completed and if the learner progresses into further learning with the same provider, a new learning agreement is made and a new prior attainment to reflect that learning agreement is recorded.
- The prior attainment is not updated during the period of learning where all activities relating to the learning agreement are continuing.
- The prior attainment is not updated at the end of all learning activities relating to the learner agreement. A new record is only added at the start of any subsequent learning where a new learning agreement has been signed.

<https://guidance.submitlearnerdatabeta.fasst.org.uk/ilr/summaryofchanges>

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Postcode field to determine AEB funding source

ILR specification: 2021 to 2022

Learning Delivery Funding and Monitoring Code: field

Updates
 ▶ **VERSION 1** [View changes](#)

Definition
 The funding or monitoring attribute being recorded

Reason required
 To identify additional funding and/or funding characteristics of the learning delivery

Schema definitions

Related Content

<https://guidance.submitlearnerdatabeta.fasst.org.uk/ilr/field/learnelfamcode>

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Source of funding (SOF) codes

Type	Code	Definition
SOF	1	Office for Students (OfS)
SOF	105	Education and Skills Funding Agency (ESFA) - Adult
SOF	107	Education and Skills Funding Agency (ESFA) - 16-19
SOF	108	Local authority (Community Learning funds)
SOF	110	Greater Manchester Combined Authority
SOF	111	Liverpool City Region Combined Authority
SOF	112	West Midlands Combined Authority
SOF	113	West of England Combined Authority
SOF	114	Tees Valley Combined Authority
SOF	115	Cambridgeshire and Peterborough Combined Authority
SOF	116	Greater London Authority
SOF	998	Other
SOF	117-120	Unassigned

Two new ones

Field: [Learning Delivery Funding and Monitoring Type](#)
Field: [Learning Delivery Funding and Monitoring Code](#)
Type of change: Revision
Details of change:
 Source of Funding indicators have been added for Sheffield City Region Combined Authority and West Yorkshire Combined Authority who will receive an adult education budget.

- SOF 118 Sheffield City Region Combined Authority
- SOF 119 West Yorkshire Combined Authority

<https://guidance.submitlearnerdatabeta.fasst.org.uk/ilr/field/learnelfamcode>

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Devolved Area Monitoring (DAM) codes

Code	Title	Description	Valid From	Valid To	Applicable Source of Funding	Requesting Agency
001	Postcode validation exclusion	This code is used in extremely rare circumstances for postcode border issues.	01/09/2019		All	ESFA
002	Procured Devolved Adult Education	To enable devolved authorities to monitor participation, achievement and outcomes associated with the fund.	01/09/2019		All	ESFA
003	Devolved AEB Innovation Fund -	GLA Procured provision will be funded under AEB eligibility rules, as described in the GLA AEB Funding and Performance Rules for Procured Providers. For this provision to be used by the GLA to generate ESF match funding, it will also be required to meet ESF eligibility rules.	01/09/2019		116	Greater London Authority
004	Devolved AEB flexible allocation provision -	The GLA recognises that this may not be possible in all cases and has given providers the flexibility of delivering provision that is only AEB-eligible, up to an AEB Flexible Allocation threshold of 15% of contract value. Provision flagged with the DAM code for AEB Flexible Allocation will be monitored by the GLA to ensure the provider does not exceed its AEB Flexible Allocation threshold, and to identify delivery which should not be used to generate ESF match funding.	01/09/2019		116	Greater London Authority
005	Test and Learn Pilot English and Maths.	The programme will be monitoring a bespoke delivery funding pot to develop innovative delivery of English and Maths within the area.	01/09/2019	31/07/2021	111	Liverpool City Region Combined Authority
006	Test and Learn Pilot ESOL.	The programme will be monitoring a bespoke delivery funding pot to develop innovative delivery of ESOL within the area.	01/09/2019	31/07/2021	111	Liverpool City Region Combined Authority
007	Test and Learn Pilot Digital.	The programme will be monitoring a bespoke delivery funding pot to develop innovative delivery of Digital programmes within the area.	01/09/2019	31/07/2021	111	Liverpool City Region Combined Authority
008	Sector Specific Monitoring.	The programme will be monitoring a bespoke delivery funding pot assigned to particular providers targeting particular growth sectors through targeted delivery.	01/09/2019		111	Liverpool City Region Combined Authority
009	Devolved AEB British Sign Language Entitlement	Remove barriers to participation for adult learners whose first or preferred language is British Sign Language (BSL), or who cannot access spoken language because of their deafness and would benefit from BSL, to be fully funded to study for qualifications in BSL, as specified in the relevant MCA/GLA funding and monitoring rules, up to and including Level 2.	01/09/2019		110 116	Greater Manchester Combined Authority Greater London Authority
010	Devolved AEB Low Wage Pilot -	Remove financial barriers from learners on a low wage by enabling learners in employment on or below the low wage threshold, described in the AEB funding and performance management rules for the relevant MCA/GLA to be fully funded on their learning aim.	01/09/2019		All	All
011	Level2 contingency	This is a temporary code only to be used against the learning aim reference number 22000001 to identify this aim as a level 2 learning aim.	01/09/2019	01/02/2020	All	ESFA
012		This is a temporary code only to be used against the learning aim reference number 22000001 to identify this aim as a level 2 learning aim.	01/09/2019	01/02/2020	All	ESFA

https://assets.ctfassets.net/6h6ixebdpdw/14et6VcwJXLoqN78H2YEo/6ddd29c532277f9214b9a08ee084ae44/ILRSpecification_Monitoring_Codes_2020_21_version4_published_12_January_2021.xlsx

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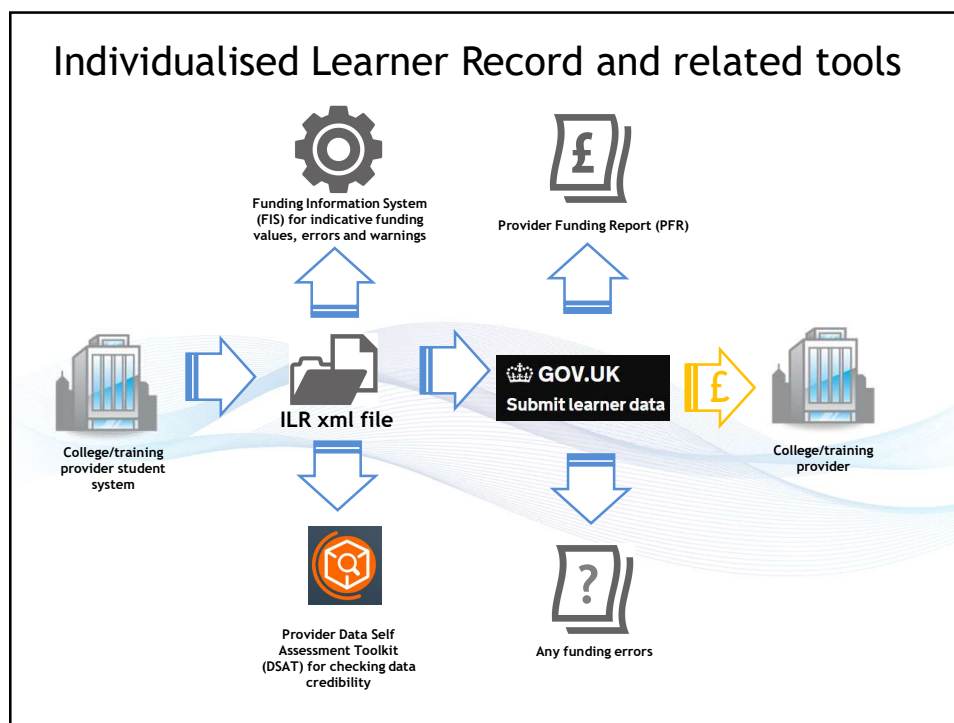
Key ILR fields used by funding software

- > Funding model
- > Learning aim
- > Start date
- > Planned and actual end date
- > Completion status
- > Outcome

Also employment status (ESF match issues)

And how important will the Destination and Progression records become?

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10. Funding audit for AEB and the use of the PDSATs

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Provider Self Assessment toolkit (PDSAT)

ILR data: provider data self-assessment toolkit (PDSAT)

Provider data self assessment toolkit (PDSAT) and reports to help FE providers make accurate and complete individualised learner record (ILR) data returns.

From: **Education and Skills Funding Agency**
Published 29 July 2019
Last updated 16 June 2021 — [See all updates](#)

Applies to: **England**

Documents

[Reviewing provider data self assessment toolkit \(PDSAT\) reports for 2020 to 2021: user guide](#)
PDF, 641KB, 113 pages
This file may not be suitable for users of assistive technology.
[Request an accessible format.](#)

Details

Colleges, independent training providers, employer providers, local authorities and other organisations can use a number of reports and tools to test the integrity of Individualised Learner Record (ILR) data.

Related content

- [Check how accurate your ILR data is with FIS](#)
- [ESFA financial assurance: monitoring the funding rules](#)
- [Individualised Learner Record \(ILR\)](#)
- [ESFA funded 16 to 18 traineeships](#)
- [Collection](#)
- [Individualised Learner Record \(ILR\)](#)
- Brexit**
[Check what you need to do](#)

<https://www.gov.uk/government/publications/ilr-data-provider-data-self-assessment-toolkit-pdsat>

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Provider Self Assessment toolkit (PDSAT)

PDSAT

Returns

Selected Return: **ILR-10000000**

Import ILR | Import FIS | Delete Return | Delete All

Key Return Data

Provider	
Return Import Date	
Return Creation Date	
Return Funding Period	10
Total Learner Count	10,139
Total Funding	FIS Only Information

Data Overview

Learners by Funding Model

- FM25
- FM35
- FM36
- FM99

Top 5 Exception Reports

Report	Record Count
20Y-203	7,343
20A-504	3,188
20Y-213	2,116
20Y-205	1,893
20Y-302	1,025

<https://www.gov.uk/government/publications/ilr-data-provider-data-self-assessment-toolkit-pdsat>

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There are more than 80 PDSAT reports

PDSAT Reports

Selected Return: ILR-10000000-1
 Favourites Group: None
 Provider Name: []
 Filters: No filters applied
 Funding Period: 10

Run

Report ID	Report Name	Record Count
<input type="checkbox"/> 208-001	Report logic by report	89
<input type="checkbox"/> 208-002	Possible duplicate learners	48
<input type="checkbox"/> 208-003	Possible duplicate or overlapping programmes and regulated learning aims	43
<input type="checkbox"/> 208-004	Possible duplicate or overlapping non-regulated learning aims	692
<input type="checkbox"/> 208-005	Transferring learners	2
<input type="checkbox"/> 20A-101	All adult skills funding model learners and learning aims	8,465
<input type="checkbox"/> 20A-102	All carry-in apprenticeship standards learners and learning aims	0
<input type="checkbox"/> 20A-103	All learners and learning aims within the new apprenticeship programme	2,484
<input type="checkbox"/> 20A-104	All Advanced Learner Loan and Loans Bursary Fund learners	311
<input type="checkbox"/> 20A-105	Learners enrolled on English and/or maths learning aims	4,268
<input type="checkbox"/> 20A-106	Learning support funding	652
<input type="checkbox"/> 20A-107	Learning aims by delivery postcode	2,125
<input type="checkbox"/> 20A-108	Funding by subcontractor	27
<input type="checkbox"/> 20A-201	19+ apprentices with full funding claimed	0
<input type="checkbox"/> 20A-202	19+ apprentices with enhanced or extended funding	4

Email PDSAT helpdesk

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Funding Rules Monitoring report guidance

ESFA financial assurance: monitoring the funding rules

Sets out the details of the monitoring work for providing financial assurance for the apprenticeships, adult education budget including traineeships and advanced learner loans funding systems.

From: Education and Skills Funding Board
 Published: 26 September 2020
 Last updated: 16 June 2021 — [See all updates](#)

Applies to: England

Contents:

- 1. Monitoring the funding rules 2020 to 2021
- 2. Monitoring the funding rules 2019 to 2020
- 3. Further information
- 4. Contact us

Print this page

We will publish the next set of data in the post-16 monitoring reports dashboard on:

19 July 2021

Post 16 monitoring report dates:

- 19 August 2021
- 28 September 2021
- 28 November 2021

Monitoring the funding rules 2020 to 2021

Financial assurance: monitoring the funding rules for post-16 funding for 2020 to 2021

Main points

- We have included a summary of all the relevant rules and guidance in this document. We assume that you are familiar with these documents and the relevant terminology. For learners who started before 1 August 2020, please refer to the documents that applied on the learner's first day in learning.
- As this work supports our overall assurance approach, some of our reports also appear in the [Provider data self-assessment toolkit](#) (PDSAT). PDSAT reports only reflect the data contained in the ILR files you submitted and help you cleanse your data before you submit your ILR return each month. It also enables auditors to follow up potential issues with you on-site. Some of our reports then compare your data with other provider's data and data from previous years.
- You must read this guidance along with any other documents referred to, and take the necessary action to ensure data returns and funding claims are correct. These documents include:
 - [Funding regulations for 16+ provision 2020 to 2021](#)
 - [Funding guidance for young people 2020 to 2021 rates and formula](#)
 - [16 to 19 study programmes: guidance \(2020 to 2021 academic year\)](#)
 - [Adult education budget \(AEB\) funding rules 2020 to 2021](#)
 - [Adult education budget: funding rates and formula 2020 to 2021](#)
 - [Advanced learner loans funding rules 2020 to 2021](#)
 - [Apprenticeship funding rules 2020 to 2021](#)
 - [Apprenticeship technical funding guide](#)
 - [T Level transition programme framework for delivery 2020 to 2021](#)
 - [T Level industry placements guidance](#)
 - [How T Levels will be funded in academic year 2020 to 2021](#)
 - [Traineeship information for training providers](#)
 - [Individualised Learner Record \(ILR\) technical documents, guidance and requirements](#)
 - [Complete the school census](#)
 - [Learner Registration Bodies \(schools, academies, FE providers, Local Authorities, HE providers\) user guide](#)
 - [Business rules for Qualification Achievement Rates \(QAR\)](#)

<https://www.gov.uk/guidance/esfa-financial-assurance-monitoring-the-funding-rules>

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ESFA Audit

Common findings from funding assurance work on post-16 providers and institutions

Published 11 June 2019

Contents

- Summary
- 16 to 19 study programmes (including high needs)
- Apprenticeships
- Adult education budget (AEB)
- Advanced Learner Loans
- European Social Funding (ESF) retrain funding
- Generic issues
- Appendix A

Summary

About this report

The Education and Skills Funding Agency's (ESFA's) Provider Market Oversight Assurance Team (PMOAT) perform an annual programme of assurance work on post-16 funding claimed by providers. This report provides details of common issues identified during assurance visits on the following programmes:

- 16 to 19 study programmes
- apprenticeships
- adult education budget (AEB)
- Advanced Learner Loans

<https://www.gov.uk/government/publications/esfa-assurance-work-on-post-16-funding/common-findings-from-funding-assurance-work-on-post-16-providers-and-institutions/adult-education-budget-aeb>

Adult education budget (AEB)

Funding issues

Prior attainment

One of the main issues causing funding errors in this area, as with apprenticeships programmes, relates to providers claiming funding without taking into account the learners' attainment and study of prior qualifications.

This may mean that the learner was exempt from part of their programme or should have had the funding reduced through the proportion of funding field on the ILR. Situations arose where learners had achieved units and progressed onto other qualifications, transferred, or returned from a break and the proportion of funding had not been reduced accordingly.

Learners studying programmes other than apprenticeships must be enrolled on a level of learning in English and/or Maths (and ESOL qualifications) that is beyond their assessed level. We found numerous examples where learners were studying at the same level at which they had been assessed. This resulted in funding being claimed incorrectly.

Learner status

Similar to the apprenticeships programme, funding errors occur when funding is claimed for learners' continued participation on the AEB programme, but this cannot be confirmed from the evidence of learning activity, usually for periods of learning, or occasionally from a learner's start date. This is a recurring issue found year-on-year and accounts for a significant proportion of funding error.

Full and co-funding

There were also instances where funding was claimed incorrectly for learners who were not entitled to full funding. Providers should only claim co-funding for these learners.

Workplace learning

Workplace learning is not eligible for funding unless these specific criteria are met:

- ESFA has confirmed a national level concession that responds to a significant negative economic impact for a specific industry
- it is statutory entitlement learning stated in paragraph 167 of the [AEB funding rules](#)

Where evidence to confirm the eligibility under the funding rules for workplace learning cannot be confirmed, such funding will be recovered.

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Final Q&A

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