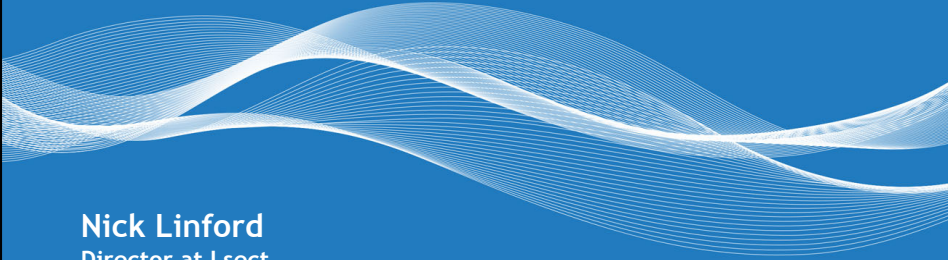


AEB funding audit

17 July 2020




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LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

FM35
AEB and
Pre May 2017 Apprenticeships
Assurance

AUDIT | TAX | CONSULTING



 RSM

Agenda

- Background to review
- The assurance review approach
- Working Papers
- Subcontracting
- What next



What is Assurance?

- The ESFA assurance approach is a financial funding review only
- Confirms funding claimed is compliant with the funding rules on a sample basis and wider data review
- Follows the errors – ring-fence issue and follow up with additional samples
- Reports on non-compliance only
- Does not cover educational content or quality
- We don't grade you
- We don't give out gold stars



FM35 Apprenticeship

Referred to as D1 FM35 – 12 questions, 38 critical factors split between AEB, Traineeships and Apprenticeships

Does not cover devolved AEB provision – ESFA funded only

Maximum sample size of 50 – follows funding and types of provision

- Push pull working paper that has general questions
- Specific questions for AEB, Traineeships and pre May 2017 Apprenticeships



Evidence Pack

Don't forget the funding rules handily tell you what evidence is expected

- P123 to 149 set out all evidential requirements for the programme
- 125. In line with General Data Protection Regulations (GDPR), you must record in the learner file what appropriate documentation you have seen, rather than take photocopies to prove eligibility.
- Each element of the programme has a separate section e.g. learner file, signatures and starting, participating and achieving
- Extra information can be found here that clarifies the evidence requirement that may not be apparent from reading the rules in isolation
- Does your evidence meet the requirements?



Funding Assurance - changes

- Unlikely that reviews will take place onsite for 2019/20
- Remote review of evidence
- Better understanding of how your evidence maps to questions and clear cross-referencing
- Increased use of Teams, Skype etc. to deliver feedback



Impact of Covid-19

- Business as usual from 1 August 2019 to Lockdown (March 2020)
- Evidence of continued learning
- Movement to online systems for enrolment and participation
- Monitoring of activity – data reporting on non-participation
- Aims that were assessed up to point of lockdown and recording end dates in the ILR
- Usage of LDM 368 – withdrawal as a direct result of Covid-19



