

110-01-005 - Report Logic by Report

Report ID	Report Title	Purpose	Logic	Implications	Notes	User Notes	Previous Load Counts	Current Load Counts
110-01-005	Report Logic by Report	To identify the reports available in the Data Self-Assessment Toolkit (DSAT) for user reference.	This report lists the descriptions for each individual DSAT report.	Nil.	In some cases where a CR/LF is used within the explanation text, a square character appears. To deal with these special handling is required that would further delay release. This issue will be dealt with in a subsequent release.	Nil.		63
110-01-010	Report Run Summaries	To identify the results of available reports from the Provider Data Self-Assessment Toolkit (PDSAT) that have been run.	Listing from recorded results.	Nil.	Nil.	Nil.		63
110-01-015	LIS Reported Data Errors/Warnings Report	To enable Providers to view the errors reported by LIS.	This report lists all the errors that were encountered by LIS during the Import Validation and Calculation processes. NB. Records contained in this report may directly affect the DSAT reports.	If there are Errors (Severity = 1) in this report, the ILR may not be considered to be a valid ILR and consequently an audit should not be carried out.	Nil.	Nil.		10754
110-01-100	All Continuing Learners	To identify all learners who are continuing into the next funding year.	This report lists all Learners, and their Learning Aims, where the Learning Aim is coded as Continuing.	This report may show a number of records where the learners have passed their expected end date. This report should be reviewed in order to ensure that the learners are still continuing with their learning aim and have not completed. It may also identify	This report is only going to be relevant towards the end of the funding year to look at the learners that are going to be moving into the next funding year.	Nil.		9433
110-01-105	All Additional Support Learners	To identify all learners for whom the Provider has indicated that the learners have Additional Learning Needs or require Additional Learning Support (ALN/ALS) for the delivery of their Learning Aim(s).	This report lists all those Learners, and their Learning Aims, where the Learner has: For ER – Additional Learning Needs (A53) set to 11, 12 or 13; For LR – Additional Learning Support (L29) set to 41 or 71 and/or - Additional Support Cost (L31) is > 0.	Listing report. This is a complete list of all learners for whom additional support funding has been claimed or the Provider has indicated there may be a claim. It can be used to identify any learner receiving more than £19,000 where LSC approval is required.	Nil.	Nil.		0
110-01-110	All HEFCE Funded Learners	To identify learners where their Learning Aim(s) are supported by HEFCE funding.	This report lists all those Learners where their Learning Aim(s) is coded as being funded by the HEFCE.(A11 = 001)	Listing report. A systematic claim of FE funding for HE learners is not expected.	Nil.	Nil.		0
110-02-005	Learner Numbers by Full Funding or Co-Funding Reason	To allow Providers to review the number of learners, by learning aim, coded as being eligible for Full Funding or Co-Funding. The learner numbers can be grouped by age group 16-18 and 19+.	This report gives the numbers of learners, by learning aim, who are coded as having Full Funding or Co-Funding Reasons (A14). Within each learning aim the learner numbers are grouped by age, 16-18 and 19+. The report is grouped by Full Funding or Co-Funding	This report can be used in conjunction with the Funding or Co-Funding Reason testing, to ensure full coverage has been obtained of all Funding or Co-Funding Reason categories. It also is a useful analytical tool as it provides an analysis of the Funding	For ER the age is shown by start of aim age and for LR the age is shown by age as at 31 August of Academic Year.	Nil.		726
110-02-010	Disadvantaged Uplift by Category	To identify all learners who are flagged as qualifying for a Disadvantaged uplift and the uplift factor is greater than 1.00. The report is ordered by uplift category.	The report lists Learners, and their Learning Aims where the Disadvantaged Uplift is set to eligible and the Disadvantage Uplift Factor is greater than 1.00	This report can be used by the Auditors to ensure that all categories have been covered as part of the substantive testing. A good analytical review tool.	Nil.	Nil.		7495
110-02-020	Summary of Enhanced Funding	The purpose of the report is to provide a summary of the claims of enhanced funding.	The report will count values by description from DLF Funding Model where the pseudo code for A05 is = 99.	Nil.	Nil.	Nil.		1
110-02-100	Learners by Delivery Postcode	To identify learners by Delivery Postcode.	The report lists all learners and their learning aims against the delivery postcode for that learning aim.	The numbers of learners under each individual postcode may be cross referenced from this report with the data in 110-02-105.	To review the number of learning aims per learner the output file, sort the output file by learner reference and aim sequence.	Nil.		11143
110-02-105	Learning Aims by Delivery Postcode	To identify by delivery post code the number of learning aims.	The report lists by Delivery Postcode the Number of Learning Aims and shows the funding associated with the delivery.	This report should be analysed in conjunction with knowledge of the Provider and its off-site/Partnership provision.	To review the number of learning aims per learner the output file, sort the output file by learner reference and aim sequence.	Nil.		357
110-02-110	Funding by Provider-Partner	To identify the funding generated by partnership arrangements. The report is based on the values in ILR fields A21 and/or A22.	This report contains the SLNs and Funding delivered under partnership arrangements. It is grouped by provider/partner and lists the funding associated with each provider/ partner. Users should note that only funding the 2009/10 Academic Year is report	This DSAT Report can be used to determine those partners have been flagged. It should be compared to those notified during the planning and controls work for accuracy and completeness. The DSAT Report can also be used to demonstrate whether the Provider	Nil.	Nil.		0
110-02-115	In-year Withdrawal Profile by Learning Aim	To identify learning aims showing the in-year retention.	To identify by Learning Aim the percentage of Learners who have withdrawn (A34 = 3) against the total number of learners who have started the Learning Aim. All starts are included regardless of the value in A34.	To be used in conjunction with withdrawals testing.	Nil.	Nil.		298
110-02-120	Leavers who have not Achieved	This report will identify all learners who have left a Learning Aim without Achievement.	The report will show all records where the Learner has A31 (Actual End Date) not equal to Null and the value of A35 (Outcome) is not equal to 1. All values of A34 (Completion Status) are reported.	For information purposes. To exclude values of A34 such as 4 - transfer the MS-Excel filtering should be used.	Nil.	Nil.		1558
110-02-200	Total LSC Tuition Fees	To identify total fees received.	This report is based on the total value of A13 where LSC Funding Stream (A10) is equal to 21 or 22.	This report lists out the total fees received per learning aim. This report should be reviewed in conjunction with the Providers agreed LSC target for tuition fees.	Nil.	Nil.		1158
110-03-005	Possible Duplicate Learners	To indicate to Providers that there may be a duplicate record(s) for a learner. The report cannot identify twins where they have the same initials.	This report lists mutually exclusively those Learners where the following duplicated combinations are valid: - Family name, Date of Birth, Gender, Postcode and NINo (Type 1); - Family name, Date of Birth, Gender and Postcode (Type 2); - Family name,	No duplicates are permitted, however twins may appear on this report. The Provider should be able to demonstrate that this is the case. An adjustment to the Funding claim may be required.	Nil.	Nil.		1889
110-03-010	Possible Duplicate Learning Aims	To identify learners who appear to be following the same learning aim more than once during any period.	This report lists mutually exclusively those Learners where the following duplicated combinations are valid: - Family name, Date of Birth, Gender, Postcode, NINo and Learning Aim Reference (Type 1); - Family name, Date of Birth, Gender, Postcode and Learning	No duplicates are permitted, however twins may appear on this report. The Provider should be able to demonstrate that this is the case. An adjustment to the Funding claim may be required.	Nil.	Nil.		2142
110-03-050	Prior Attainment	This report is to identify all learners who have a Prior Attainment Level (L35) that is the same or higher than the level of their main Learning Aim.	See purpose.	The learner's prior attainment should be reviewed in order to ensure that they are not undertaking a level of qualification that they have already achieved (Note academic versus vocational qualifications).	Nil.	Nil.		3293
110-03-100	Learners Under 16	The purpose of the report is to show Learners who are under the age of 16 at either the start of the academic year for Learner Responsive learners or who are under the age of 16 at the start of learning for Employer Responsive Learners.	See purpose. Additionally for Apprenticeships, the report will show learners who have started their apprenticeship and whose 16th Birthday fell between the last Friday in June and the 31st August at the beginning of the academic year.	These learners should be confirmed as being eligible for LSC funding either having started their apprenticeship after the Last Friday in June, or having LSC Approval.	Nil.	Nil.		140
110-03-150	Scottish, Northern Ireland and Welsh Postcodes with LSC Funding	To identify any learners whose home postcode indicates that they normally live in N Ireland, Scotland, Wales, Channel Islands or Isle of Man and funding is generated.	This report lists Learners who have N Irish, Scottish or Welsh, Channel Islands or Isle of Man postcodes. The report lists their home postcode, current postcode, county of domicile, learning aims, delivery postcode, and funding.	This report should be matched with the expectations for each provider. Significant numbers of learners may require LSC approval.	Postcode sectors do not tend to correspond to national boundaries. In these instances, the centroid (centre of area) for the postcode sector has been used to determine the country for the postcode. Where learner's postcodes are close to a border region,	Nil.		1

110-03-155	Postcodes that are Generic or Null	To allow providers check those learners where their home postcode (L17), current postcode (L22) and/or their delivery postcode (A23) is entered as a generic, Null or an error is detected.	This report lists Learners with home postcodes that are ZZ99 9ZZ, ZZ-- --, -ZZZ and Null. (L17, L22 and A23 plus).	The Provider should ensure that where practicable that all postcodes are collected as it could result in an under claim of disadvantage funding. Significant entries may affect the correct generation of the Provider Factor.	Nil.	Nil.		11143
110-03-160	Disadvantaged Uplift for postcode reason mis-matched to LSC's Postcode file	To allow Providers to check that they are claiming the correct Disadvantaged Uplift postcode factor.	This report list those Learners where the recorded eligibility for Disadvantaged Uplift for learners from deprived area (ward based uplift) postcode on LSC's file, and where the recorded factor does not match the factor given in the LSC's file for postcode	This report provides a list of all postcode uplifts used by the Provider that does not agree to those provided by the LSC.	Nil.	Nil.		0
110-03-200	16-18 and not claiming Enhanced Funding	To identify learners who are 16-18 and the Provider is not claiming Enhanced Funding.	This report lists those Learners, and their Learner Aims, where the Learner aged 16-18 as at 31st August but Enhanced Funding is not claimed. The report includes NVQ Level and Skills for Life indicators to enable analysis of the reason why they are no	This report lists any learners for whom enhanced funding has not been claimed. The Provider should provide reasons for any inclusions on this report. Adjustments to the funding claim may be necessary.	Nil.	Nil.		2456
110-03-205	16-18 year old Learners with No Full Funding or Co-Funding	To identify 16-18 year old learners, yet the Provider is not claiming Full Funding or Co-Funding	The report lists Learners who were 16 to 18 at the start of the academic year and no Full Funding or Co-Funding Reason (A14) has been completed.	All LSC funded learners aged 16-18 are entitled to No Full Funding or Co-Funding.	Nil.	Nil.		7
110-03-210	19+ Claiming Enhanced Funding	To identify learners who are 19+ at the start of the academic year and for whom the provider is claiming 16-18 Enhanced Funding.	The report lists those Learner's and their Learning Aims where the learner is aged 19 or over at the start of the academic year and where 16 - 18 Enhanced Funding is being claimed.	The Provider should demonstrate to the Auditor that the learners contained on this report are eligible for enhanced funding (that they were 16-18 at the start of the academic year).	Nil.	Nil.		170
110-03-215	19+ Year Olds with 16-18 Full Funding or Co-Funding Claimed	To identify all 19+ learners where their Full Funding or Co-Funding Reason is 1 (16-18 learner).	To identify all 19+ learners (L_AGE > 18 for LR learners) where Eligibility for Enhanced Funding (L28 = 12 for LR learners) and/or the Full Funding or Co-Funding Reason (A14 = 1).	These learners should be reviewed in order to ensure that the Learner and their aims are eligible for 16-18 Full Funding or Co-Funding.	Nil.	Nil.		204
110-03-220	Learners with Possible Incorrect Full Funding or Co-Funding Reason 09	To identify Learners where the Provider has claimed Full Funding or Co-Funding (A14 = 09) related to skills for life programmes yet the Learner's programme does not contain a learning aim designated Skills for Life learning aim.	The report lists all Learners, and their Learning Aims where the Learner has one or more Learning Aims that have the Reason for Full Funding or Co-Funding set to waived (9) and the Learning Aim is not designated as a Skills for Life learning aim.	Full Funding or Co-Funding for skills for life is not available to learners not undertaking a programme of learning with a skills for life learning aim. These learners should be excluded from the funding claim.	Nil.	Nil.		142
110-03-222	Adult Learners who are 16-18 at Start of Academic Year	The purpose of the report is to list Learner Responsive Learners who are coded as being adults but were less than 19 at the start of the academic year.	The report will list Learner Responsive Learners who are coded as Adults (A10 = 22) but at the start of the academic year they were less than 19 (L_AGE < 3).	The implications of this is that providers may be missing out on 16-18 enhanced funding or That the date of birth is invalid and adult learner and associated funding is missing from adult learner responsive totals on the funding claim report. (As adult	Nil.	Nil.		0
110-03-225	Full Funding or Co-Funding Level 2 Entitlement	The purpose of the report is to show learners for whom Level 2 Full Funding or Co-Funding has been claimed inappropriately.	The report will list all Learners where they are on Learner Responsive or Trail to Gain provision and they are not 19 or over at the start of the academic year on their first full Level 2 programme with A14 Reason for Full Funding set to 22. Note that fo	The provider should be able to justify any inclusions on this report. Note the age is based on 31 August, consequently learners who are 18 at 31 August may be 19 at the start of their programme.	Nil.	Nil.		0
110-03-230	Full Funding or Co-Funding Level 3 Entitlement	The purpose of the report is to identify learners where Level 3 Full Funding or Co-Funding has been inappropriately claimed.	The report will list all learners who are less than 19 or greater than 25 where A14 has been set to 24.	Nil.	Nil.	Nil.		1
110-03-250	Learners with Multiple NVQs	To identify learners who appear to be studying for more than one NVQ at the same time. NB. All learning aims will be listed for such learners.	This report lists those learners who appear to be active for two or more NVQ Learning Aims. This is based on overlapping programme dates. Where there is such an overlap All of the Learner's Learning Aims are listed.	In accordance with the funding guidance learners should only undertake on full-time NVQ at any one time. In addition, the Provider should consider the appropriateness of undertaking NVQs at different levels or in similar areas.	Nil.	Nil.		0
110-03-300	Learners under 19 attending Access to HE Courses	To identify all Learners that are studying Access to HE Learning Aims that are under the age of 19 at the start of the Learning Aim.	This report lists all LSC Funded Learners that are studying Access to HE Learning Aims that are under the age of 19 at the start of the Learning Aim. Access to HE courses are identified using the LIS derived field A_ATYPE = 6.	Review for reasonableness as there should not be many entries. LSC approval may be required.	Nil.	Nil.		0
110-03-350	16-18 Learner with Learning Aims with less than or equal to 9 Guided Learning Hours (GLH)	To identify Learners who were 16-18 at the start of the academic year but have learning aims with less than 9 GLH.	This report lists Learners, and their Learner Aims where the Learner was 16-18 year old at the start of the academic year, the Learning Aim is not delivered by distance learning or a Taster Learning Aim, has glh entered but is less than 9 guided learning	Learners aged under 19 are not expected to undertake LSC funded learning aims of less than 9 hours (some exceptions noted for Basic Skills). The funding claim may need to be adjusted.	Nil.	Nil.		0
110-03-355	Learning Aims with more than or equal to 9 GLH in one day	To identify learning aims completed in one day, where the guided learning hours recorded are 9 or greater.	This report lists a Learner's Learning Aim where the complete Learning Aim delivered in more than 9 GLH in one day. Learners who have withdrawn or transferred (A34 = 3 or 4) are excluded from the report.	Aims that are delivered in more than 9 hours in one day are high risk. Providers should be able to demonstrate that the glh recorded on the ILR is being delivered.	Nil.	Nil.		113
110-03-365	High Weekly Hours	The purpose of the report is to list learners who are shown as having high weekly guided learning hours.	The report lists learners who have greater than 30 guided learning hours per week using values from A27, A28 and in-year GLH.	Nil.	Nil.	This report is generated based on hours per week * 4 for each period. It is quite possible for a learner to be reported where their hours are potentially close to the threshold of 30 hours per week.		140
110-03-370	High Learner Annual Guided Learning Hours(>800)	To identify learners where the total AGLH for all their learning aims is greater than 800hrs.	This report lists Learners, and their Learning Aims where the sum of the in year Guided Learning Hours exceeds 800.	Learners are not expected to undertake programmes of more than 800 hours. The accuracy of the hours recorded for learners contained in this report should be reviewed.	Nil.	Nil.		325
110-03-375	Learning Aims where the Cap has been applied	The purpose of this report is to identify all of a Learner's Learning Aims where the Cap has been applied.	The report lists Learning Aims where the Cap is greater than 0 (zero) and is less than 1 (one). The report only reports learning aims where the total funding generated is greater than 0 (zero).	This DSAT report is to assist Providers in compiling the Manual Adjustment Claim for approval by the LSC. Inclusion on this report does not automatically justify a Manual Adjustment.	Nil.	Nil.		615
110-03-380	Possible Full Cost Courses	To identify learning aims where the fee paid by a learner is greater than 100% of the Funding with the removal of the impact of the Provider Factor. These Learners may be on courses which should be flagged as non-funded, or where LSC approval may be needed.	For All Courses - The report shows those learners who have paid fees approaching 100% of the funding generated with the removal of the Provider Factor	LSC funding is not available for learners who have paid more than 100% of funding after the removal of the Provider Factor.	Nil.	Nil.		0
110-03-600	Withdrawals on Short and Long Programmes	To identify withdrawals near to the start of the funding qualifying period.	The report shows learners where the expected duration of the Learning Aim between 2 weeks and 24 weeks and the learner has withdrawn between 7 and 28 days after the Start Date, or where the expected duration of the Learning Aim is greater than 24 weeks	The systematic recording of learners withdrawals near a start qualifying period may require investigation by the provider to ensure that the data is accurate.	Nil.	Nil.		181
110-03-605	Withdrawn Learners with Achievement	To identify learners whose ILR record is showing as achieved and learner has withdrawn prior to planned end date.	A34=3; A35=1; A31<A28.	Significant learners of this type would not be expected.	Nil.	Nil.		0
110-03-800	Learners past their Expected End Date	To identify learners who are continuing in learning passed their planned end of learning date.	Where ILR Production Date > Learning Planned End Date (A28) and their Actual End Date is Null.	Identified number of learners who have past their expected end date. This report should be reviewed by the provider in order to ascertain whether the learners are still studying/ have completed/ withdrawn.	Nil.	Nil.		185
110-03-807	E2E Learner Attendance	This report is to identify all E2E learners.	The report lists learners who are on an E2E programme. Programme Type "09" (A15 = 09)	For information purposes.	Nil.	Nil.		82
110-03-810	E2E Learner Attendance	This report is to identify all learners who are remaining on their programmes beyond the expected duration of 22 weeks and who are drawing down additional funding.	The report lists learners where the difference between A31-A27 > 22 weeks meaning that additional funding needs to be agreed.	For information purposes.	Nil.	To enable users to check results if A31 is null then A28 is used instead for the logic.		50

110-03-815	Early Completers	To identify learners, who complete a Learning Aim more than 14 days before the expected end date.	This report lists Learners and their Learning Aims where the Learning Aim has been completed more than 14 days before the expected end date.	This report should be reviewed in order to ensure that expected end dates are recorded accurately in the ILR.	Nil.	Nil.		83
110-03-820	Completers who have Unknown Outcomes	To identify those learners and their Learning Aims who have completed the aim but the outcome is not known or they are flagged as having no intention to take the examination. The report also lists those who have completed but are flagged as 'Study Continui	This report lists Learners, and their Learning Aims where the Learning outcome is null, the exam taken but outcome not known, or there is no intention to take an exam (A35 = 4 or A35 = 5).	This report will show a number of records where the learners achievement status is unknown. The Provider should review this in order to ascertain whether the learner's achievement status is known.	Nil.	Nil.		429
110-04-005	Learner Difficulty Disability Inconsistency	The purpose of this report is to identify learners where their Learner Difficulty/ Disability is inconsistent.	This report is to identify all learners who have declared they either have or not have learner difficulties have correctly recorded this through codes 'L14, L16' i.e. no disability is 'L14 - 2 or 9', 'L15 - 98 or 99', 'L16 - 98 or 99' and 'A53 <997'.	This should be reviewed in conjunction with ALN/ASN Testing, in order to ensure that appropriate evidence is in place to support the claim.	Nil.	Nil.		452
110-04-020	Learners with Invalid or Generic ULNs	The purpose of this report is to list out learners where their Learner Unique Learner Number (L45) or Aim Unique Learner Number (A55) has been set a value that is invalid or generic.	The report will output all learners where L45 and/or A55 is not between 1000000000 and 9999999999.	Nil.	Nil.	Nil.		11143
110-04-025	Possible Learners with Duplicate ULNs	The purpose of this report is to list any ULNs (L45) that are duplicated within the Learner table.	The report lists duplicate values of ULN based on the Learner Unique Learner Number (L45)	Nil.	Nil.	Nil.		0
110-04-200	Learners with Restricted Use Indicator not equal to 9	The purpose of this report is to list those learners where more than 10% of the learners have the Restricted Use Indicator (L27) is not set to 9 and the Destination Field (L39) is not set to 61 (Death).	If the number of learners who have L27 < 9 or L39 < 61 exceeds 10% of the total learners.	Nil.	If no records are reported, it means that 10% or less records have L27 < 9 and L39 < 61 not that there are zero records meeting the criteria.	Nil.		1169
110-04-205	Learners whose Prior Attainment Level is equal to 97	The purpose of this report is to identify where in the case of Learner Responsive and European Social Fund Learners more than 5% and in the case of Employer Responsive Learners more than 7% have been recorded with a Prior Attainment Level of Other Qualifi	The reports lists where 5% or 7% (see Purpose above) of the Return population have L35 (Prior Attainment) values 97, 98 and 99.	Nil.	If no records are reported, it means that either 5% or 7% or less records (See Purpose above) have L35 = 97, 98 or 99 not that there are zero records meeting the criteria.	Nil.		1870
110-05-010	Aim Summary Details	To provide summary details by learning aim.	This report summarises Learning Aims showing the number of Learners, GL and Funding by type associated with the Learning Aim.	Reasonableness check & use as part of testing of risk areas. This identifies the aims that have high funding and learners.	Nil.	Nil.		547
110-05-015	Learners on a Specified Learning Aim	To identify learners on a Learning Aim that is entered by the user.	This report lists Learners on a specified Learning Aim. Users are requested to select the specific Learning Aim from a drop-down list of available aims.	This report will list individual learners under a specific learning aim for population identification purposes.	Nil.	Nil.		11143
110-05-025	Incorrect LA Achievement Timescales	This report is to identify all learners who have achieved their learning aims after the QCA Effective To Date.	The report lists all Learners Learning Aims where the Learning Aim Start Date (A27) is after the APPROVAL_END_DATE as listed in the LAD ALL_ANNUAL_VALUES[APPROVAL_END_DATE] field	These aims should be reviewed in order to identify whether the correct aim being used.	Nil.	Nil.		0
110-05-030	List of Learners on Learning Aims Delivered through Partnership Arrangements	To provide a list of learners where the learning is delivered by a franchise partner.	This report lists Learners and their Learning Aims where the Aim is delivered through a partnership arrangement (A21 is less than 99) and/or A22 is not null. The list is sorted by Partner, Arrangement then Learning Aim.	Listing report - will identify all partnership provision that the Provider is delivering.	Nil.	Nil.		0
110-05-100	PT 16 - 18 Claiming Enhanced Funding	To allow Providers review learners aged 16-18 who appear to be part-time and for whom Enhanced Funding is being claimed.	2,71.2.4 This report lists those Learners who were aged 16 to 18 as at 31st August at the beginning of the academic year and appear to be part time and are flagged for and receiving Enhanced Funding.	The Provider should be able to show that the learners contained are in fact full-time learners. Where this cannot be done then the enhanced funding should not be claimed.	Nil.	Nil.		317
110-05-105	All Learners Claiming Enhanced Funding without Skills for Life	To identify all learners where the Provider is claiming Enhanced Funding yet the learner's programme does not include Skills for Life	The report lists all Learners (regardless of age), and all their Learner Aims, where Enhanced Funding is being claimed but without the Learner having a Skills for Life Learning Aim.	The Provider should be able to demonstrate that a key skill or equivalent has been delivered as part of the programme. Where this cannot be demonstrated an adjustment to the funding claim should be provided.	Nil.	Nil.		4748
110-05-150	List of Learners by Main Delivery Methods 02, 04 and 05	To identify learners by learning aim where the main delivery method indicates that the aim was - Achieved through accreditation of prior learning, on open learning or e-learning.	To identify Learners by Learning Aim where the Main Delivery Method (A18) indicates that the Learning Aim was: Achieved through Accreditation of Prior Learning (APL) (A18 = 04), On Oper Learning (A18 = 02), On e-Learning (A18 = 05).	Listing report - identifies all Open Learning, APL and E Learning provision.	Nil.	Nil.		0
110-05-155	List of Learners on Distance Learning Courses	To identify those learners studying load banded Aims by Distance Learning and to show the associated funding.	The report lists Learners by Learning Aim for Load banded Learning Aims where they are completed by Distance Learning. These are identified by A53 containing a value other than zero and A18 = 03(distance learning).	Listing report. This can be compared to the courses disclosed in the planning questionnaire to ensure completeness. It can be used by the Auditor to ensure sufficient coverage is obtained as part of the substantive audit. It can be used to see if the rat	Nil.	Nil.		0
110-05-300	Learners by Contracting Org Code	The purpose of this report is to list learners by their Contracting Org Code (A70)	The report lists all learners by A70 with their learning aims.	Nil.	Nil.	Nil.		4107
110-05-505	European Social Fund Learner Listing	To identify learners whose provision is part or wholly funded by ESF.	This report lists Learners, and their learning aims where the provision is part funded by the European Social Fund. The report is divided by 'Traditional' ESF funding, by Co-financing arrangements and by Matched funding. Whether LSC Funding Stream (A10)	Listing report. The coding should be checked and a completeness check undertaken to ensure that all ESF learners have been flagged.	Nil.	Nil.		58
110-05-510	Learners attending Courses under National Initiatives	To identify learners with learning aims flagged as being delivered part of a National Initiative.	This reports lists Learners, and their Learning Aims, with the associated funding where the record indicates that the learning activity is part of a National Initiative. (A46 < 99).	Listing report. Should be used to ensure that the learners have been appropriately recorded.	Nil.	Nil.		16
110-05-515	Non-National LSC Funded Learners (excl HE)	To identify learners who are not funded by the National LSC or on HE learning aim.	A11a > 2 and < 541) or (A11b > 2 and < 541)	Listing report. Will identify local initiatives.	Nil.	Nil.		7046
110-05-520	ESF Data Set Incomplete	To identify learners who are not flagged as potential Match.	The report lists learners where Employment Status on Day Before Starting Learning Aim (A66) is set to null or 98 and/or Length of Unemployment Before Starting ESP Project (A67) is set to null or 98 and the value in LSC Funding Stream (A10) is not equal to	This may need confirming to LSC Expectations of the Level of ESF Match.	Nil.	Nil.		0

110-02-005 - Learner Numbers by Full Funding or Co-Funding Reason

Provider: Hogwarts College of Audit Wizardry

Academic Year: 2010 - 2011

Purpose of Report: To allow Providers to review the number of learners, by learning aim, coded as being eligible for Full Funding or Co-Funding. The learner numbers can be grouped by age group 16-18 and 19+.

Report Logic: This report gives the numbers of learners, by learner aim, who are coded as having Full Funding or Co-Funding Reasons (A14). Within each learning aim the learner numbers are grouped by age, 16-18 and 19+. The report is grouped by Full Funding or Co-Funding Reason.

Implications: This report can be used in conjunction with the Funding or Co-Funding Reason testing, to ensure full coverage has been obtained of all Funding or Co-Funding Reason categories. It also is a useful analytical tool as it provides an analysis of the Funding or Co-Funding Reason Funding being claimed by Learning Aim.

Notes: For ER the age is shown by start of aim age and for LR age is shown by age as at 31 August of Academic Year.

Reason for Full Funding (A14)	Age Group	Aim Ref (A09)	Learning Aim Title	No of Enrolments	Aim SLN	LR ALS Cash	Total LR Cash
9	16 - 18	CBSP0001	Basic Literacy Course, Pre-entry Level	2	0.47	£57.40	£1,362.67
9	19+	10013234	Certificate in Adult Literacy	45	3.44	£1,865.51	£9,385.94
9	19+	10058217	Intermediate Certificate in Personal Finance	1	0.17	£91.71	£461.40

110-03-210 - 19+ Claiming Enhanced Funding

Provider: Hogwarts College of Audit Wizardry

Academic Year: 2010 - 2011

Purpose of Report: To identify learners who are 19+ at the start of the academic year and for whom the provider is claiming 16-18 Enhanced Funding.

Report Logic: The report lists those Learner's and their Learning Aims where the learner is aged 19 or over at the start of the academic year and where 16 - 18 Enhanced Funding is being claimed.

Implications: The Provider should demonstrate to the Auditor that the learners contained on this report are eligible for enhanced funding (that they were 16-18 at the start of the academic year).

Learner Ref (L03)	Age	Age at Start of Aim	Eligibility for Enhanced Funding (L28)	Full Time/ Part Time	Aim Seq (A05)	Aim Ref (A09)	Learning Aim Title	Provider Specified Aim Data (A48a)	Provider Specified Aim Data (A48b)	Funding Stream (A10)	Main Del Method (A18)	Skills for Life	LAD NVQ Level	Start Date (A27)	Planned End Date (A28)	Actual End Date (A31)	GLH (A32)	Completion Status (A34)	Outcome (A35)	Aim SLN	LR ALS Cash	Total LR Cash
367974	19	18	12	Full Time	1	50015916	BTEC National Diploma in Performing Arts	F07292	CA	21	1	N	3	06 Sep 2010	#####		1125	1	9	1.34	£164.82	£3,912.80
384749	19	19	12	Full Time	1	50091116	Functional Skills qualification in English	FSL1ECAP	CA	21	1	N	1	06 Sep 2010	#####		54	1	9	0.00	£0.15	£3.50
384767	22	22	12	Full Time	1	50091116	Functional Skills qualification in English	FSL1ECAP	CA	21	1	N	X	06 Sep 2010	#####		54	1	9			

110-03-365 - High Weekly Hours

Provider: Hogwarts College of Audit Wizardry

Academic Year: 2010 - 2011

Purpose of Report: The purpose of the report is to list learners who are shown as having high weekly guided learning hours.

Report Logic: The report lists learners who have greater than 30 guided learning hours per week using values from A27, A28 and in-year GLH.

Implications: Nil.

Notes: This report is generated based on hours per week * 4 for each period. It is quite possible for a learner to be reported where their hours are potentially close to the threshold of 30 hours per week.

Learner Ref (L03)	Aim Ref (A09)	Learning Aim Title	Provider Specified Aim Data (A48a)	Provider Specified Aim Data (A48b)	Funding Stream (A10)	Main Del Method (A18)	Start Date (A27)	Planned End Date (A28)	Actual End Date (A31)	No of Weeks Duration	GLH (A32)	Avg Hrs Per Week	Completion Status (A34)	Outcome (A35)	Aim SLN	LR ALS Cash	Total LR Cash
10018286	50067436	Certificate in IT User Skills (ITQ) (QCF)	JTOCITQ2	SKILLS	99	1	23 Aug 2010	09 Sep 2010	09 Sep 2010	2	120	60.0	2	4			
10018286	ZVOC0014	Vocational study not leading to a recognised qualification, Preparation for Life and Work (SSA 14)	P20431	BDU	99	1	23 Aug 2010	09 Sep 2010	09 Sep 2010	2	30	15.0	2	1			
414717	50016544	BTEC National Diploma in Health and Social Care	F07552	CS	21	1	11 Sep 2009	01 Jul 2011	08 Sep 2010	94	994	10.6	4	3			
414717	50016544	BTEC National Diploma in Health and Social Care	F06162	CS	21	1	09 Sep 2010	01 Jul 2011		42	1135	27.0	1	9	1.72	£211.34	£5,017.09
414717	CMISC001	Tutorial and enrichment studies for full time 16-18 students studying for example, GCE A levels; AS levels; GCSEs and short course GCSEs	TUTCS2	CS	99	1	09 Sep 2010	01 Jul 2011		42	72	1.7	1	9			

110-03-375 - Learning Aims where the Cap has been applied

Provider: Hogwarts College of Audit Wizardry

Purpose of Report: The purpose of this report is to identify all of a Learner's Learning Aims where the Cap has been applied.

Report Logic: The report lists Learning Aims where the Cap is greater than 0 (zero) and is less than 1 (one). The report only reports learning aims where the total funding generated is greater than 0 (zero).

Implications: This DSAT report is to assist Providers in compiling the Manual Adjustment Claim for approval by the LSC. Inclusion on this report does not automatically justify a Manual Adjustment.

Learner Ref (L03)	Aim Ref (A09)	Learning Aim Title	Funding Stream (A10)	Main Del Method (A18)	Start Date (A27)	Planned End Date (A28)	Actual End Date (A31)	GLH (A32)	Completion Status (A34)	Outcome (A35)	Uncapped Aim SLN	Cap Factor	Aim SLN	LR ALS Cash	Total LR Cash
20315	50040509	Diploma in Painting and Decorating (QCF)	22	1	13 Sep 2010	01 Jul 2011		210	1	9	2.00	0.87500	1.75	£950.25	£4,781.00
343043	50040558	Diploma in Bricklaying (QCF)	22	1	06 Sep 2010	01 Jul 2011		216	1	9	2.00	0.87500	1.75	£950.25	£4,781.00

110-03-800 - Learners past their Expected End Date

Provider: Hogwarts College of Audit Wizardry

Academic Year: 2010 - 2011

Purpose of Report: To identify learners who are continuing in learning passed their planned end of learning date.

Report Logic: Where ILR Production Date > Learning Planned End Date (A28) and their Actual End Date is Null.

Implications: Identified number of learners who have past their expected end date. This report should be reviewed by the provider in order to ascertain whether these learners are still studying/ have completed/ withdrawn.

Record Count

185

Learner Ref (L03)	Aim Ref (A09)	Learning Aim Title	Provider Specified Aim Data (A48a)	LAD NVQ Level	Funding Stream (A10)	Main Del Method (A18)	Start Date (A27)	Planned End Date (A28)	Actual End Date (A31)	GLH (A32)	Completion Status (A34)	Outcome (A35)	Aim SLN	LR ALS Cash	Total LR Cash
364384	50056888	Award in Preparation for Event Volunteering (Personal Best) (QCF)	F0821A	1	22	1	02 Aug 2010	10 Sep 2010		90	1	9	0.27	£144.80	£364.27
411561	50056888	Award in Preparation for Event Volunteering (Personal Best) (QCF)	F0821A	1	22	1	02 Aug 2010	10 Sep 2010		90	1	9	0.27	£144.80	£364.27
424971	50056888	Award in Preparation for Event Volunteering (Personal Best) (QCF)	F0821A	1	22	1	02 Aug 2010	10 Sep 2010		90	1	9	0.27	£144.80	£364.27

110-03-815 - Early Completers

Provider: Hogwarts College of Audit Wizardry

Academic Year: 2010 - 2011

Purpose of Report: To identify learners, who complete a Learning Aim more than 14 days before the expected end date.

Report Logic: This report lists Learners and their Learning Aims where the Learning Aim has been completed more than 14 days before the expected end date.

Implications: This report should be reviewed in order to ensure that expected end dates are recorded accurately in the ILR.

Record Count

3

Learner Ref (L03)	Aim Ref (A09)	Learning Aim Title	Provider Specified Aim Data (A48a)	LAD NVQ Level	Funding Stream (A10)	Main Del Method (A18)	Start Date (A27)	Planned End Date (A28)	Actual End Date (A31)	GLH (A32)	Completion Status (A34)	Outcome (A35)	Aim SLN	LR ALS Cash	Total LR Cash
17439	10013234	Certificate in Adult Literacy	BSL2CSCR	2	22	1	06 Sep 2010	01 Jul 2011	30 Sep 2010	450	2	1	1.00	£36.20	£2,731.86
18605	10013234	Certificate in Adult Literacy	BSL2CSCR	2	22	1	06 Sep 2010	01 Jul 2011	11 Oct 2010	30	2	4	0.07	£36.20	£182.13
227684	10013234	Certificate in Adult Literacy	BSL2CSCR	2	22	1	06 Sep 2010	01 Jul 2011	04 Oct 2010	30	2	1	0.07	£36.20	£182.13