

Apprenticeship funding audit

24 June 2020




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LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

Agenda



- Background to review
- The assurance review approach
- Working Papers
- Subcontracting
- What next



What is Assurance?

- The ESFA assurance approach is a financial funding review only
- Confirms funding claimed is compliant with the funding rules on a sample basis and wider data review
- Follows the errors – ring-fence issue and follow up with additional samples
- Reports on non-compliance only
- Does not cover educational content or quality
- We don't grade you
- We don't give out gold stars



FM36 Apprenticeship

Referred to as D4 FM36 – 12 questions, 44 critical factors

Maximum sample size of 50

- Eligibility
- Age
- Employed status
- Employment Hours
- Apprenticeship Training Agencies
- Prior learning
- Duration
- Delivery location
- Distance learning
- Breaks in learning
- Learning Support
- Start and end dates plus activity
- Achievement



Funding Assurance Review

Other areas for review

- Wider Eligibility
- Negotiated Price
- Initial assessment and link to negotiated price
- 20% off the job – quantity, delivery, progress and completion
- Written agreement, commitment statement and Apprenticeship Agreement
- Payment of employer co-investment
- Payment of price over funding band maximum
- Additional payments for 16-18 or 19-24 with EHCP and Care Leaver Bursary
- Small Employer – co-investment waiver
- Completion and end point assessment
- ESF Match requirements



Evidence Pack

Don't forget the funding rules handily tell you what evidence is expected

- P299 to 321 set out all evidential requirements for the programme
- Each element of the programme has a separate section e.g. eligibility, price
- Extra information can be found here that clarifies the evidence requirement that may not be apparent from reading the rules in isolation
- Does your evidence meet the requirements?



Funding Assurance - changes

- Unlikely that reviews will take place onsite for 2019/20
- Remote review of evidence
- Better understanding of how your evidence maps to questions and clear cross-referencing
- Increased use of Teams, Skype etc. to deliver feedback



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- DISCUSS WORKING PAPERS HERE, D4 and D12



Impact of Covid-19

- Business as usual from 1 August 2019 to Lockdown (March 2020)
- Evidence of continued learning and 20% off the job – no change
- Furlough and off the job – still 20% minimum
- Furlough and minimum wage requirements - evidence of paying top up when Apprentice is training



QUESTIONS
AND ANSWERS?



