



Apprenticeship funding in 2015/16

- 10.35 Apprenticeships framework funding 16-18, 19-23 and 24+
- 11.50 Break for refreshments
- 12.10 Monthly apprenticeship profiling, using FIS and tips when planning 2015/16
- 13.00 Break for lunch
- 13.50 Apprenticeship performance management and funding audit
- 14:30 The latest Trailblazer standards that are 'ready to deliver'
- 14:40 Trailblazer funding pilot rules, rates and future reform plans
- 15.30 Workshop end

Conservative government means big apprenticeship growth

We will “support 3m new apprenticeships, so **young people** acquire the skills to succeed”



The 16-23 (young) apprenticeship starts have never broken through the 300k barrier

Despite introduction of Apprenticeship Grant for Employers (AGE) and Raising the Participation Age (RPA), the 16-18 starts in 13/14 were 9% lower than in 2010/11. Minimum duration at play?

3m target will either rely on 24+ growth (easy and cheap), or incentivising employers to take 16-23 (difficult and expensive)

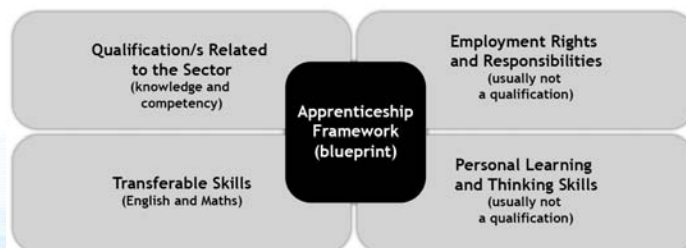
Apprenticeships framework funding 16-18, 19-23 and 24+

Funding formula ~
from the basics to mastering

LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

What is an apprenticeship framework?

Apprenticeships in England were designed as 'frameworks', which had to meet the Specification of Apprenticeship Standards for England (SASE)



Note

The SASE has been abandoned as part of new general deregulation legislation but the design of the frameworks remain unchanged. More on 'trailblazer' standards later

Finding frameworks and eligible quals

228 frameworks (all levels) in England (as at 10 May 2015)

Framework title	Issuing Authority	Level	Framework ID
Accounting (England)	Financial Skills Partnership	2,3	FR02481
Activity Leadership (England)	SkillsActive	2	FR03197
Advanced Level Apprenticeship in Journalism (England)	Creative Skillset	3	FR01545
Advanced Level Apprenticeship in Intelligence Analysis (England)	Skills for Justice (Justice, Community Safety and Legal Services)	3	FR02830

Approx 350 frameworks (incl. separate levels) in England

<http://www.afo.sscalliance.org>

Accounting framework (example)

Accounting (England)
Framework status: **Issued (latest)** issue

Framework details
Framework ID: F802481
Issue number: 1.1
Issued: 23 October 2013

Download framework
Download framework (PDF document 2.43 MB)

Issued by:
Financial Skills Partnership

Contact name: Lynn Bryson
Telephone number: 0845 618 2368
Please download the framework for email contact information.

Purpose
"Definition of Apprenticeships"
1. An Apprenticeship is a job with an accompanying skills development programme designed to allow the apprentice to gain technical knowledge and real practical experience, personal skills, required for their immediate job and future career. These are acquired in the workplace, formal off the job training and the opportunity to practice and embed in context. This broader mix differentiates the Apprenticeship experience from training or focused job needs.
2. All apprentices commencing their Apprenticeship must have an Apprenticeship Agreement and the apprentice. This can be used to reinforce the understanding of the requirement.
3. On completion of the Apprenticeship the apprentice must be able to undertake the full range of circumstances appropriate to the job, confidently and competently to the satisfaction of the employer.
This framework has been developed by employers and providers to meet the needs of enterprises through to the larger organisations. It provides a structured approach to its prospective Accountants who understand the needs of the organisation, its customers they operate.
The Fair Access Report (July 2009) highlights the need for apprenticeships into the future. UNCES Skills Audit (March 2010) highlights the need for more accountants in the future. The framework includes the following job occupations at the following levels:
Level 3
• Accounts Assistant/Clerk

Accounting (England)
FRAMEWORK

Accounting (England)

Latest framework version?
Please use this link to see if this is the latest issued version of this framework:
afo.sscalliance.org/frameworklibrary/browse.html#F802481
Issue date: 23 October 2013

Issued by:
Financial Skills Partnership

Document status:
Issued

apprenticeship
FRAMEWORKS ONLINE
www.afo.sscalliance.org

Framework vocational qualifications

Some combine competence and knowledge in one qual

Combined qualifications available to this pathway

B1 - Level 2 Certificate in Accounting

No.	Ref no.	Awarding organisation	Credit value	Guided learning hours	SCQF level
B1a	501/00476	City & Guilds	27	188	N/A

B2 - Level 2 Diploma in Financial and Management Accounting

No.	Ref no.	Awarding organisation	Credit value	Guided learning hours	SCQF level
B2a	601/0772/8	Association of Chartered Certified Accountants (ACCA)	44	290	N/A

B3 - Level 2 Certificate in Accounting

No.	Ref no.	Awarding organisation	Credit value	Guided learning hours	SCQF level
B3a	600/609/0	Association of Accounting Technicians	34	210	N/A

Relationship between competence and knowledge qualifications
A breakdown of the knowledge and competence units within these qualifications can be found via the following link:
<http://www.financialskillspartnership.org.uk/careers/resources/online-apprenticeships.htm>

apprenticeship
FRAMEWORKS ONLINE

Others have a separate qual for competence and knowledge

Qualifications

Competence qualifications available to this pathway

C1 - Level 2 Certificate in Cabin Crew (QCF)

No.	Ref no.	Awarding organisation	Credit value	Guided learning hours	SCQF level
C1a	501/0545/0	City and Guilds	34	249 - 260	N/A
C1b	501/0489/5	Edexcel	34	249 - 260	N/A

Knowledge qualifications available to this pathway

K1 - Level 2 Certificate in Introduction to Cabin Crew (QCF)

No.	Ref no.	Awarding organisation	Credit value	Guided learning hours	SCQF level
K1a	600/0296/0	Accorith	22	153 - 179	N/A
K1b	501/2260/5	City and Guilds	22	153 - 156	N/A
K1c	600/0104/5	Edexcel	22	153 - 171	N/A
K1d	600/0474/5	HCPE	22	153 - 156	N/A

apprenticeship
FRAMEWORKS ONLINE

English, maths and sometimes ICT

Transferable skills (England)		
Functional Skills / GCSE (with enhanced functional content) (England)		
Apprentices must complete or have completed one of the English qualifications and one of the Mathematical transferable skills qualifications to successfully complete their Apprenticeship and this will carry the weight of two qualifications as part of their evidence and cannot be awarded.		
English		
Functional Skills qualification in English		
GCSE qualification in English (with enhanced functional content)		
Key Skills qualification in Communication achieved either before September 2013 as part of the Apprenticeship, or...		
GCSE Qualification in English		
A Level or AS Level qualification in English Language		
A Level or AS Level qualification in English Literature		
A Level or AS Level qualification in English Language and Literature		
GCSE or O Level qualification in English Language		
A Level or AS Level qualification in English Language		
A Level or AS Level qualification in English Literature		
A Level or AS Level qualification in English Language and Literature		
* achieved before September 2012 and within the 5 years immediately prior to starting the Apprenticeship		
** achieved before September 2012, otherwise at any time prior to starting the Apprenticeship		
Mathematics		
Functional Skills qualification in Mathematics	1	5
GCSE qualification (with enhanced functional content) in Mathematics	C	5
Key Skills qualification in Application of Number achieved either before September 2013 as part of the Apprenticeship, or...	1	5
GCSE qualification in Mathematics	C	10/A
A Level or AS Level qualification in Mathematics	E	10/A
A Level or AS Level qualification in Pure Mathematics	E	10/A
A Level or AS Level qualification in Further Mathematics	E	10/A
GCSE or O Level qualification in Mathematics	A	10/A
A Level or AS Level qualification in Mathematics	A	10/A
A Level or AS Level qualification in Pure Mathematics	A	10/A
A Level or AS Level qualification in Further Mathematics	A	10/A
* achieved before September 2012 and within the 5 years immediately prior to starting an Apprenticeship		
** achieved before September 2012, otherwise at any time prior to starting the Apprenticeship		
Inclusion of Information and Communications Technology (ICT)		
ICT is not required for this framework as it is covered in the knowledge and competence qualification.		
Progression routes into and from this pathway		
Progression into the apprenticeship can come from the following qualifications:		
<ul style="list-style-type: none"> GCSE's and alternative qualifications; A-Levels; 14-19 Diploma; 		

An intermediate (level 2) framework must always include a level 1 functional skill (or similar in English and Maths (unless equivalent already achieved))

An advanced (level 3) framework must always include a level 2 functional skill (or similar) in English and Maths (unless equivalent already achieved)

Some frameworks also require an ICT qualification (unlike this example)

The 5 year rule scrapped

"Modifications to SASE came into effect on 6th April 2015. The changes ONLY relate to the Transferable Skills requirements of a framework and they ONLY apply to new Apprenticeship starts on, or after, 6th April 2015. Apprenticeships started before this date must continue to meet the 2013 SASE requirements for Transferable Skills. The modifications removed the "5 year rule", meaning that acceptable qualifications, achieved before September 2012, are now in scope. This includes iGCSEs, A and AS Levels, O Levels and Key Skills. However, there are still minimum grade/level requirements that need to be achieved, depending on the level of Apprenticeship being undertaken. There have also been some changes to the minimum grade/level requirements."

CHANGES TO TRANSFERABLE SKILLS REQUIREMENTS FOR APPRENTICESHIP STARTS FROM 6TH APRIL 2015	
Qualifications to be counted as Level 1 functional skills (or equivalent) for apprenticeship starts from 6th April 2015	Qualifications to be counted as Level 2 functional skills (or equivalent) for apprenticeship starts from 6th April 2015
<ul style="list-style-type: none"> Functional Skills qualification in English GCSE qualification in English (with enhanced functional content) Key Skills qualification in Communication achieved either before September 2013 as part of the Apprenticeship, or... GCSE Qualification in English A Level or AS Level qualification in English Language A Level or AS Level qualification in English Literature A Level or AS Level qualification in English Language and Literature GCSE or O Level qualification in English Language A Level or AS Level qualification in English Language A Level or AS Level qualification in English Literature A Level or AS Level qualification in English Language and Literature 	<ul style="list-style-type: none"> Functional Skills qualification in Mathematics GCSE qualification (with enhanced functional content) in Mathematics Key Skills qualification in Application of Number achieved either before September 2013 as part of the Apprenticeship, or... GCSE qualification in Mathematics A Level or AS Level qualification in Mathematics A Level or AS Level qualification in Pure Mathematics A Level or AS Level qualification in Further Mathematics GCSE or O Level qualification in Mathematics A Level or AS Level qualification in Mathematics A Level or AS Level qualification in Pure Mathematics A Level or AS Level qualification in Further Mathematics

Page added to every framework spec

Key point is that for a GCSE or O Level to be counted as a level 2 it must be a grade C or above

Other apprenticeship Eng and math rules

Intermediate (L2) apprenticeships require L1 English and maths if not already achieved

Advanced and higher (L3+) require L2 English and maths if not already achieved

But also...

if, before they start a L2 apprentice they already have L1 Eng and math they must start and continue to take part in L2 Eng and maths.

"if, before they start, the apprentice does not have the level 1 in English or maths (or both) that meets the minimum requirement of the apprenticeship framework:

- > they must be offered level 2 func skills or GCSE quals in English or maths (or both)
- > they must achieve the English and maths requirements set out by the framework."

"If they achieve level 1 in English or maths (or both) during their apprenticeship you must offer them level-2 Functional Skills or GCSE qualifications in English or maths (or both)."

Note:

- > If learner declines offer you must be able to evidence this in learning agreement
- > You can claim funding for the level 2, but only if the level 1 is achieved
- > The Eng and maths must always be funded as part of the apprenticeship framework
- > Only the minimum requirement will impact on framework achievement £ and success rate
- > Early years educator framework has rules about GCSE Eng and math already being achieved

See para 305 of the funding rules:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/414797/Funding_Rules_v2_March_2015.pdf

Framework example in full

From the spec we now know the mandatory qualifications in the chosen framework

Accounting level 2 framework example	
Learning aim	Qualification
5010004X	Level 2 Certificate in Accounting
50123257	Level 1 Functional Skills in Mathematics
50116605	Level 1 Functional Skills in English

Framework funding fundamentals

- National funding formula
- Funding formula applied to each and every qualification
- Every qualification has an unweighted apprenticeship funding rate
- All qualifications when within an apprenticeship framework are funded at the rates used in 2012/13 (no change for 2015/16)
- Funding depends not only on qualification rate, but also on age (16-18, 19-23 or 24+), learner's home postcode (disadvantage uplift), delivery location (area cost uplift), if co-funded (50% discount), with a large employer (25% discount for 19+), when they last attended (if drop-out) and if they achieved the full framework (successfully passed all the qualifications)
- A simple calculation it is not...

Full funding formula since 2013/14

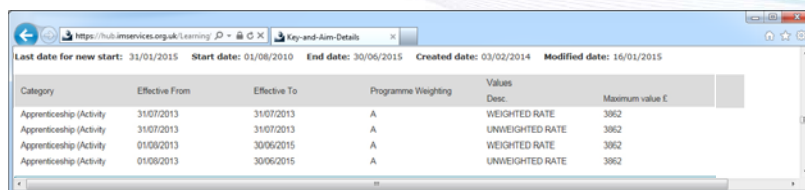
The SFA introduced a new 'streamlined' funding formula in 2013/14, but have not implemented the new 'matrix' funding rates for apprenticeships.

Therefore, apprenticeship funding rates are the same as they were in 2012/13, when based on an SLN x NFR

Eg. A Level 2 Certificate in Accounting (5010004X) had an Standard Learner Number value in 2012/13 of 1.477 and the 19-23 National Funding Rate was £2,615

$$1.477 \text{ SLN} \times £2,615 \text{ NFR} = £3,862$$

Helps you see where the rate on LARS (see below) comes from



The screenshot shows a web browser window with the URL <https://hub.imservices.org.uk/Learning/20Aims/Pages/default.aspx>. The page displays a table of funding rates for apprenticeships. The table has columns for Category, Effective From, Effective To, Programme Weighting, Values (Desc.), and Maximum value £.

Category	Effective From	Effective To	Programme Weighting	Values (Desc.)	Maximum value £
Apprenticeship (Activity)	31/07/2013	31/07/2013	A	WEIGHTED RATE	3862
Apprenticeship (Activity)	31/07/2013	31/07/2013	A	UNWEIGHTED RATE	3862
Apprenticeship (Activity)	01/09/2013	30/06/2015	A	WEIGHTED RATE	3862
Apprenticeship (Activity)	01/09/2013	30/06/2015	A	UNWEIGHTED RATE	3862

<https://hub.imservices.org.uk/Learning%20Aims/Pages/default.aspx>

Framework funding

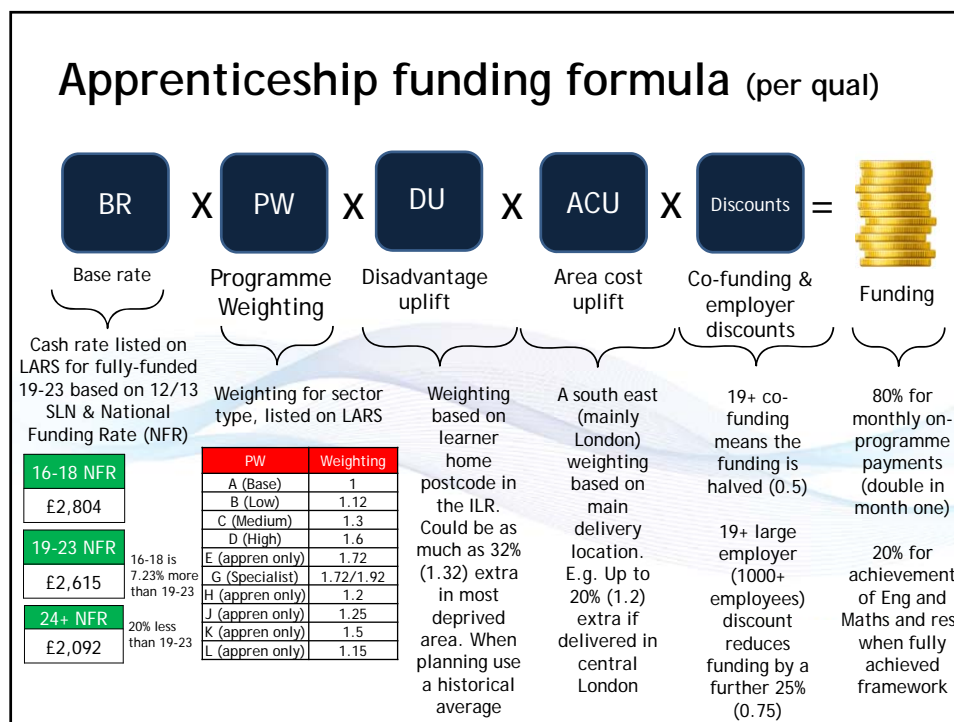
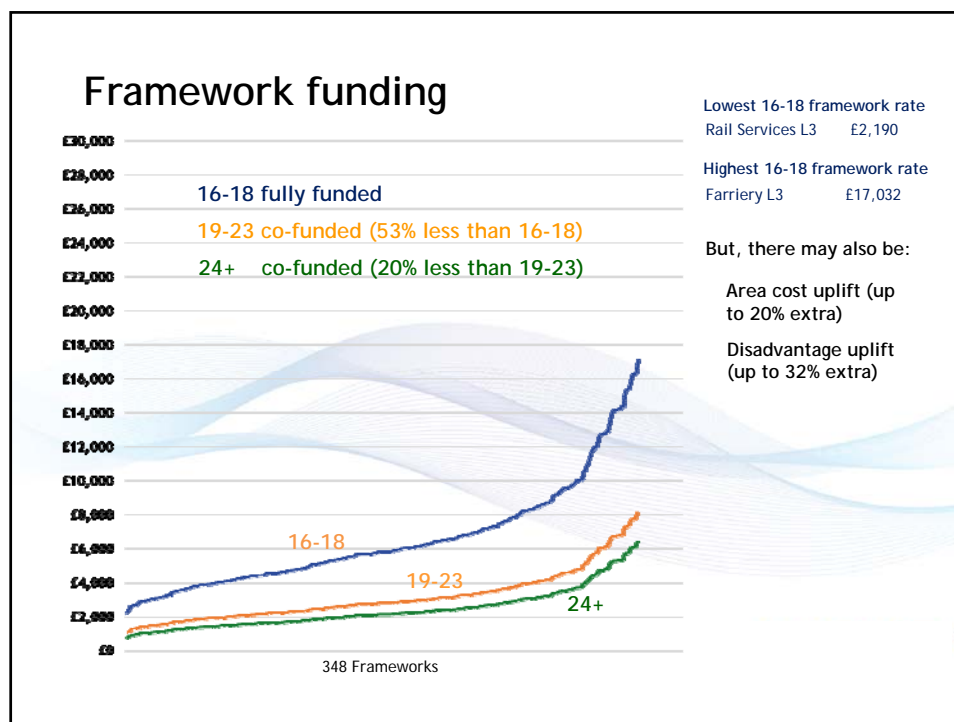
Framework	Level	16-18 Funding
Accounting	2	£4,142
Accounting	3	£4,198
Accounting	4	£5,330
Activity Leadership	2	£4,535
Advanced Manufacturing Engineering	4	-
Advertising & Marketing Communications	4	£5,961
Agriculture	2	£4,185
Agriculture	3	£7,112
Agriculture	4	£11,816
Animal Care	2	£4,346
Animal Care	3	£6,435
Animal Technology	2	£6,733
Automotive Clay Modelling	3	£13,739
Automotive Management and Leadership	5	-
Aviation Operations on the Ground	2	£4,988
Aviation Operations on the Ground	3	£9,445
Banking	4	£5,339
Barbering	2	£5,987
Barbering	3	£5,983
Beauty Therapy	2	£4,630
Beauty Therapy	3	£6,095
Blacksmithing	3	£9,203
Bookkeeping	2	£2,986

Frameworks on AFO (England)

Level	Frameworks
2	134
3	160
4	35
5	15
6	3
7	1
Total	348

Source:





Unweighted funding example in 14/15 and 15/16

Level 2 accounting framework (excl. DU & ACU)

L2 accounting		LARS weighted rate
5010004X	Level 2 Certificate in Accounting	£3,862
50123257	Level 1 Functional Skills in Mathematics	£724
50116605	Level 1 Functional Skills in English	£724

19+ co-funding discount = 50%

Large employer discount (LED) = 25%

L2 accounting		SLN from 2012/13	16-18	19-23	19-23 LED	24+	24+ LED
5010004X	Level 2 Certificate in Accounting	1.477	£4,142	£1,931	£1,448	£1,545	£1,159
50123257	Level 1 Functional Skills in Mathematics	0.168	£471	£362	£272	£290	£217
50116605	Level 1 Functional Skills in English	0.168	£471	£362	£272	£290	£217
Total			£5,084	£2,655	£1,991	£2,124	£1,593
Compared to 16-18 total				52%	39%	42%	31%

Not on LARS (but same as 12/13). ICT is £224 for 16-18 and ICT 19-23 rate on LARS is £345 (before 50% taken off for co-funding)

Definition of a start

The learner (ILR) data contains start and planned end data, as well as actual end data when a learner withdraws or finishes

Planned number of days in learning	Qualifying number of days
Fewer than 14 days	1 (one attendance)
Between 14 and 167 days	14 (two weeks)
168 days and greater	42 (6 weeks)

If an enrolment does not meet the qualifying criteria it will not be counted for learner or funding purposes

Clearly it is important for any apprenticeship funding that there is solid and auditable evidence of guided learning, assessment, training or monitored workplace practice after the 42 day

Monthly apprenticeship profiling, using FIS and tips when planning 2015/16

LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

Monthly apprenticeship funding

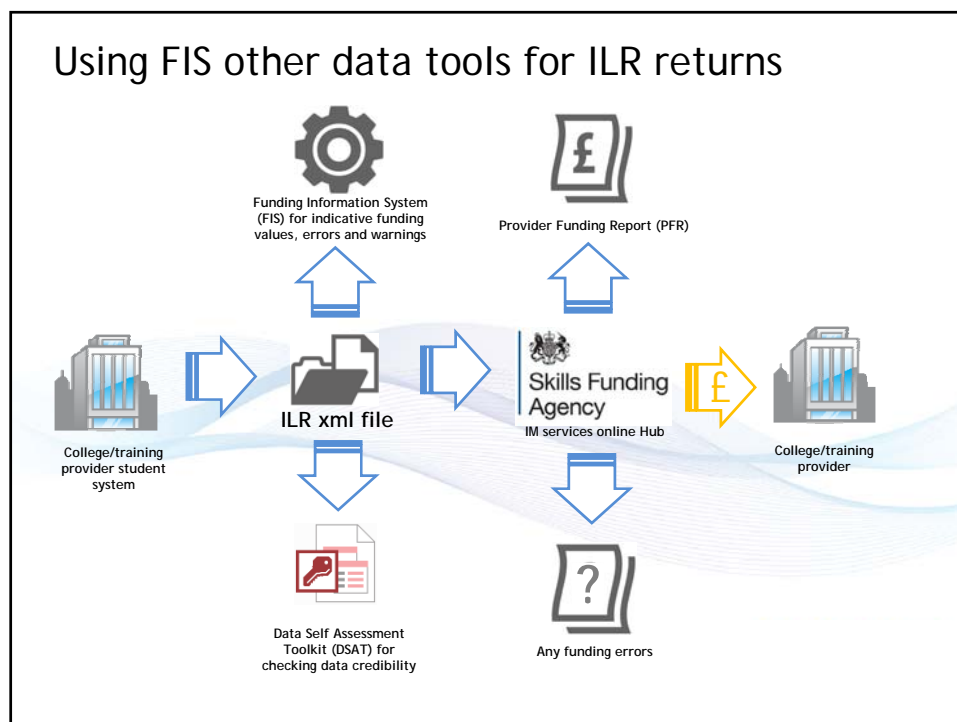
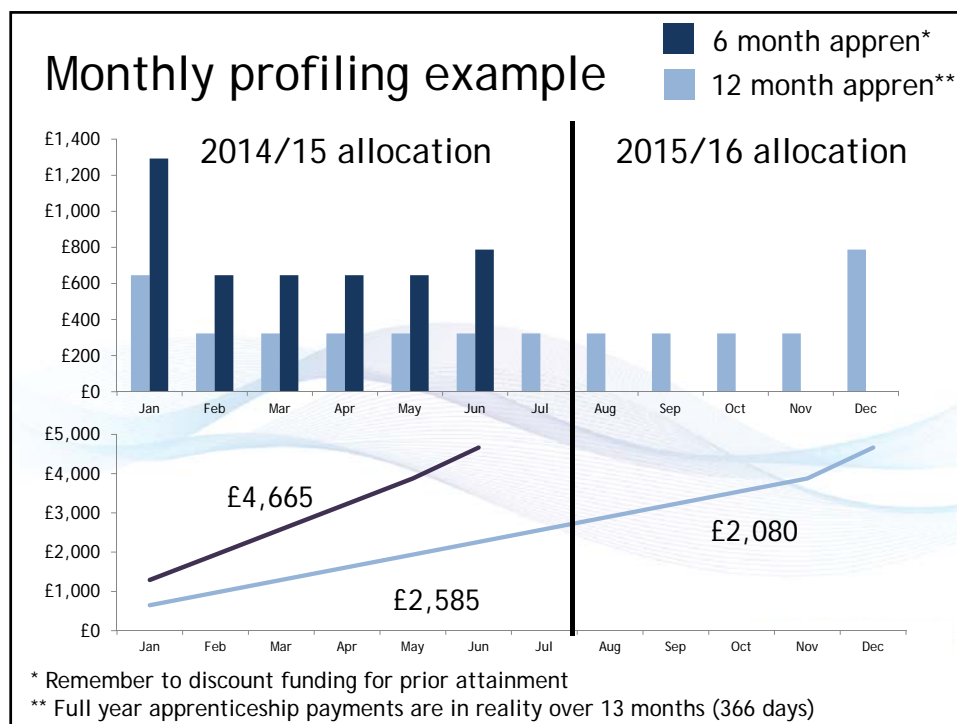
Monthly on-programme (OP) instalment funding includes a double payment in month one (sometimes referred to as n+1). For example, if OP was £10,000 over 9 months then month one would be £2,000 and following 8 months would be £1,000 each

L2 accounting		16-18 unweighted funding
5010004X	Level 2 Certificate in Accounting	£4,142
50123257	Level 1 Functional Skills in Mathematics	£471
50116605	Level 1 Functional Skills in English	£471
Total		£5,084

OP Instal Month 1	£2,034	Achievement	£1,017
OP Instal Month 2	£1,017		
OP Instal Month 3	£1,017	Total funding	£5,084

Note

All 19+ Apprenticeships are co-funded (50%) and 25+ have a 20% (NFR £2,092) rate reduction and large employers (1000 staff+) have a further 25% rate reduction. And, achievement is 20% of all



Provider funding reports from the Hub

2014/15 PFR Summary Report

Provider Name:

UKPRN:

Date Produced: 29/09/2014

Last Provider Batch File Received: ILRA-

Last Provider Batch Update:

Please note: These figures represent the amount of funding earned which could be different to payments received.

The figures for future months in italics represent funding assuming no changes to the ILR, they do not include any estimates for potential achievement funding.

16-18 Apprenticeships	Aug 2014	Sep 2014	Oct 2014	Nov 2014	Dec 2014	Jan 2015	Feb 2015
Funding Calc - Earned Cash (On Prog, Balancing, Ach)	£73,795.14	£88,649.13	£64,480.18	£62,536.53	£61,518.56	£59,953.96	£59,368.70
16-18 Traineeships EFA Funding Calc - Earned Cash	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Learning Support - Earned Cash (LSF1)	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Total Earned Cash	£73,795.14	£88,649.13	£64,480.18	£62,536.53	£61,518.56	£59,953.96	£59,368.70
EAS - Learner Support	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
EAS - Learning Support	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
EAS - Other Programme Funding	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
EAS - 16-18 Traineeships Bursary	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
EAS - Other Programme Funding (16-18 Traineeships)	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Total EAS	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Total - Earned Cash Including EAS	£73,795.14	£88,649.13	£64,480.18	£62,536.53	£61,518.56	£59,953.96	£59,368.70
16-18 Apprenticeships	Mar 2015	Apr 2015	May 2015	Jun 2015	Jul 2015	Year To Date	Total
Funding Calc - Earned Cash (On Prog, Balancing, Ach)	£57,993.48	£55,669.35	£55,538.87	£55,260.38	£52,460.00	£162,444.28	£747,224.28
16-18 Traineeships EFA Funding Calc - Earned Cash	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Learning Support - Earned Cash (LSF1)	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
Total Earned Cash	£57,993.48	£55,669.35	£55,538.87	£55,260.38	£52,460.00	£162,444.28	£747,224.28
EAS - Learner Support	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00
EAS - Learning Support	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00	£0.00

Component Set Version:
Application Version: 1.2.29.15277
File Preparation Date: 29/09/2014
Prepared at: 13:09:04 on 29/09/2014

LARS Data:
Postcode Data: 10
3

Large Employer Data:
Organisations Data: 5
8

Page: 1 of 12

Performance management

Timeline for 2014/15 (not yet published for 2015/16)

Table 8. Performance-management dates for ASB, 16 to 18 apprenticeships and traineeships

Performance-management points	Timeline		
	1	2	3
Change request form to be received	24 October 2014	27 January 2015	24 April 2015
Delivery information using the latest validated ILR data you provide	6 November 2014	5 February 2015	6 May 2015
Cases for increases to contract values reviewed by	5 November 2014	5 February 2015	6 May 2015
Cases for reducing contract values reviewed by	14 November 2014	13 February 2015	15 May 2015
Decision to approve or reject increases and reductions	28 November 2014	27 February 2015	22 May 2015
Providers told the outcome of our performance-management process	Week beginning 1 December 2014	Week beginning 2 March 2015	Week beginning 1 June 2015
Performance-management values published on our website by	23 January 2015	31 May 2015	3 July 2015

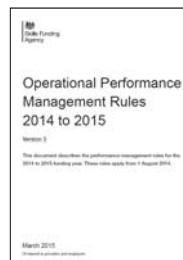
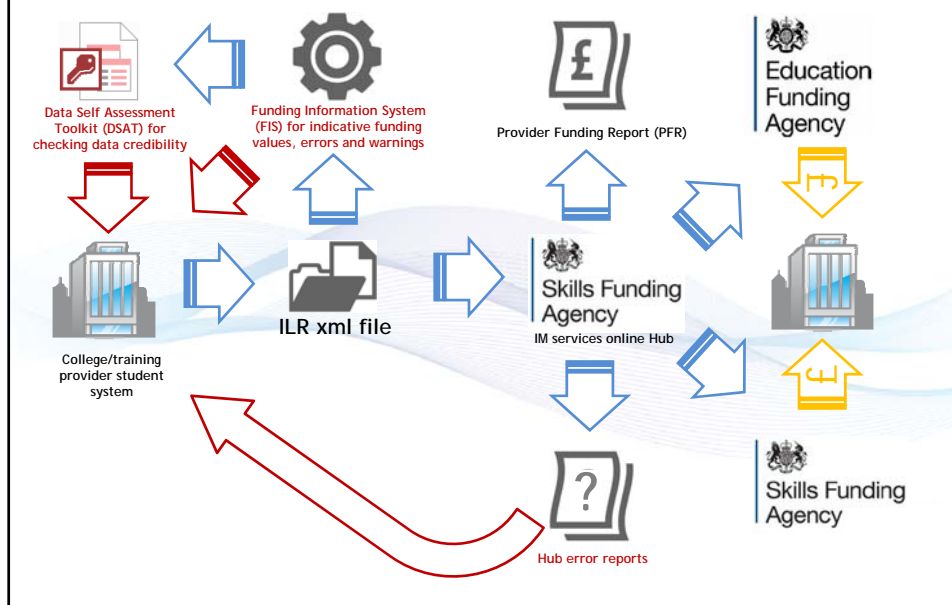


Table 3. Performance-management tolerances for the Adult Skills Budget and OLASS

Performance-management point	1	2	3
Month	November 2014	February 2015	May 2015
Tolerance (percentage of cumulative profile to date)	12%	6%	3%
Lower threshold for contract value adjustments	£10,000	£10,000	£10,000
<i>Last year (2013/14 it was more forgiving)</i>	15%	8%	5%

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/409207/Operational_Performance_Management_Rules_version_3.pdf

Three SFA tools to support ILR data quality



New in-year data checks

Financial Assurance: Monitoring the Funding Rules 2014 to 2015

Date of Issue March 2015

1. As part of the Skills Funding Agency's (SFA's) assurance work, and to improve the overall quality of the data reported, we perform a desktop review of how the funding system and funding rules are operating. To do this, we analyse the data reported to us in the Individualised Learner Record (ILR) and from other sources, such as the Learning Records Service (LRS). We analyse data to identify possible funding errors for further investigation.
2. This plan lists the current areas that we are monitoring and working on with the further education (FE) sector during the course of the 2014 to 2015 funding year. It assures us that the training provision we buy meets our published funding rules. We will embed this in our normal business processes for this funding year, including our assurance approach and end-of-year payment processes.
3. We will add to the plan where we identify further issues, for example through assurance processes or statistical analysis of data for performance measures.
4. Colleges and training organisations (providers) should review their own data in the monitored areas, by either of the following:
 - using the reports available on the Hub (once they have submitted ILR data)
 - using the wide range of reports in the latest version of the [Provider data self-assessment toolkit](#) (PDSAT); PDSAT has both revised and new reports to support providers in this work.

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/416168/Financial_Assurance_-_Monitoring_the_Funding_Rules_2014_to_2015.pdf

SFA has said they will look at R10

Areas of focus	Funding rules reference	Notes
Apprenticeships		
Apprenticeship achievements to confirm that they meet the minimum duration in learning.	126 and 134	<ul style="list-style-type: none"> PDSAT report 15S-130, 15S-140 and 15S-290
Apprentices aged 19 and over to confirm that we are only fully funding if: <ul style="list-style-type: none"> enhanced funding described in rules 148 to 150 apply the apprentice is subject to an Education, Health and Care (EHC) plan the apprentice started when they were 16- to 18-years-old, took a break in learning and returned to continue their apprenticeship after they turned 19-years-old 	148 and Annex 1, 47	<ul style="list-style-type: none"> PDSAT report 15S-100 PDSAT report 15S-110
Learning aims delivered within an apprenticeship framework to ensure that we are only funding those listed as mandatory. We do not fund functional skills in English and maths at entry-level for any apprentice and Level 1 for advanced-level apprentices.	8 and 110	<ul style="list-style-type: none"> PDSAT report 15S-590
Apprentices that start the Early Years Educator (pathway 1) advanced-level apprenticeship framework on or after 1 August 2014 to confirm that we are not funding functional skills.	110	<ul style="list-style-type: none"> PDSAT report 15S-610 The framework code is 445 and pathway 1

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/416168/Financial_Assurance_-_Monitoring_the_Funding_Rules_2014_to_2015.pdf

The Provider Data Self Assessment Toolkit (DSAT)



<https://www.gov.uk/government/publications/ilr-data-check-that-it-meets-standards-and-quality-requirements>

Colleges, training organisations, local authorities and employers (further education providers) can use a number of reports and tools to test the integrity of Individualised Learner Record (ILR) data and to prepare for audit.

The use of DSAT remains a contractual requirement for the EFA, and could go the same way for the SFA

Disclaimer Acceptance

Providers are responsible for implementing adequate and effective controls surrounding the submission of funding claim(s) to the Agencies.

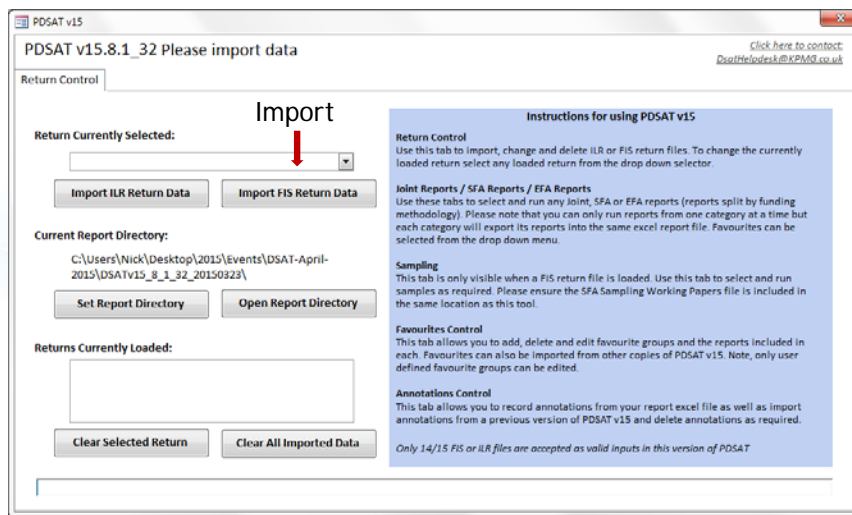
The Provider DSATs are a suite of exception reports highlighting potential data anomalies in certain areas which may require further investigation. Provider DSATs should not be solely relied upon to identify all irregularities that may exist.

Providers are also reminded that the Agencies' contractual requirements include significant other areas not covered by Provider DSATs.

Please press yes to accept the above conditions.

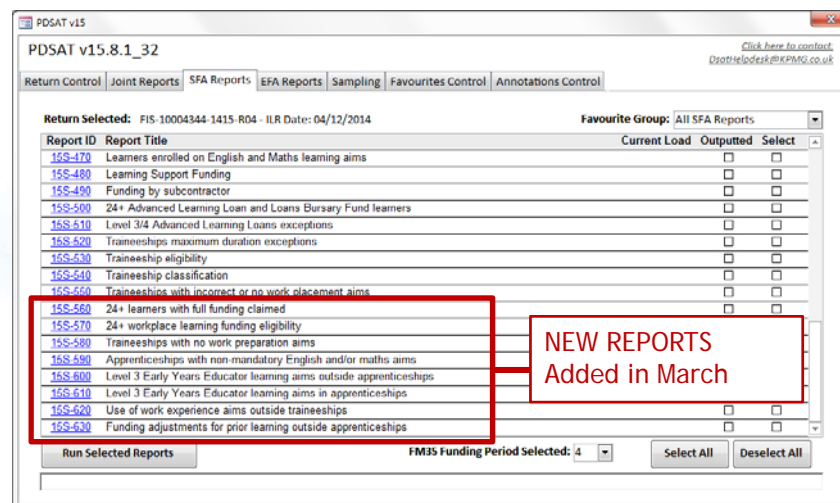
Importing the ILR DB into the DSAT

Once DSAT downloaded and installed the ILR database is imported

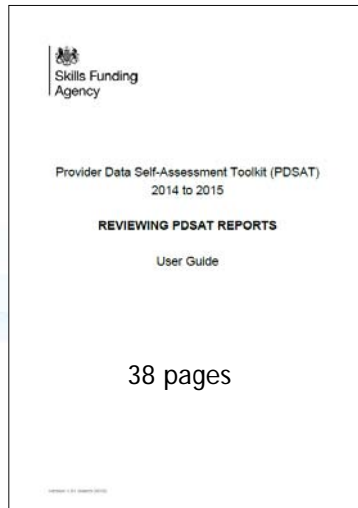


DSAT reports

The 14/15 version of DSAT now separates out EFA and SFA reports (so duplicates), and includes traineeship reports. It now has 75 reports.



New DSAT user guide *(dummies guide for an auditor)*



"The user guide will help users of PDSAT v15 to perform detailed reviews of the suite of PDSAT reports for 2014 to 2015. The guide explains how the Provider Financial Management and Assurance (PFMA) team reviews all of the PDSAT reports so it will be of use to providers in preparing for a PFMA assurance review, as well as part of their routine ILR data checking procedures."

Includes very useful guidance on why, how and what to filter for

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/412485/ASB_F2_PDSAT_Review_Notes_2014_to_2015.pdf

The latest Trailblazer standards
that are 'ready to deliver'

LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

Trailblazers 'ready to deliver'

Sector	Standard	Level	Cap	ILR TPS code
Actuarial	Actuarial Technician	4	£18,000	17
Aerospace	Aerospace Manufacturing Fitter	3	£18,000	3
Automotive	Mechatronics Maintenance Technician	3	£18,000	4
Automotive	Control /Technical Support Engineer	6	£18,000	9
Automotive	Electrical /Electronic Technical Support Engineer	6	£18,000	10
Automotive	Manufacturing Engineer	6	£18,000	11
Automotive	Product Design and Development Engineer	6	£18,000	12
Automotive	Product Design and Development Technician	3	£18,000	13
Dental health	Dental Technician	5	£18,000	18
Dental health	Dental Laboratory Assistant	3	£3,000	19
Dental health	Dental Practice Manager	4	£6,000	20
Digital Industries	Network Engineer	4	£18,000	1
Digital Industries	Software Developer	4	£18,000	2
Digital Industries	Degree Apprenticeship Technology Solutions	6	£18,000	25
Energy and Utilities	Power Network Craftsperson	3	£18,000	6
Financial Services	Relationship Manager (Banking)	6	£18,000	7
Financial Services	Financial Services Administrator	3	£8,000	8
Food and Drink	Food and Drink Maintenance Engineer	3	£18,000	16
Golf greenkeeping (horticulture)	Golf Greenkeeper	2	£6,000	21
Life and Industrial Sciences	Laboratory Technician	3	£18,000	14
Life and Industrial Sciences	Science Manufacturing Technician	3	£18,000	15
Newspaper and broadcast media	Junior Journalist	3	£8,000	22
Property services	Property Maintenance Operative	2	£8,000	23
Rail Design	Railway Engineering Design Technician	3	£18,000	24

<https://www.gov.uk/government/publications/apprenticeship-standards-ready-for-delivery/list-of-apprenticeship-standards-ready-for-delivery>

Trailblazer funding pilot rules, rates and future reform plans

LSECT
LEARNING & SKILLS
EVENTS, CONSULTANCY & TRAINING

Provider eligibility

The SFA has said to employers looking for providers:
 “A list of lead providers with apprenticeship funding allocations 2014 to 2015 is on our website”



[Allocation / contract values 2014 to 2015](#) (published January 2015)

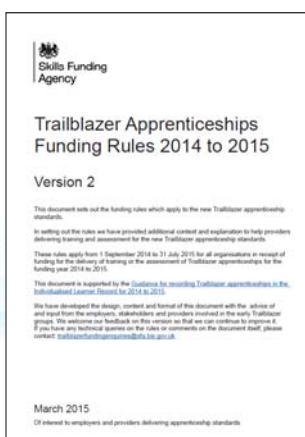
MS Excel Spreadsheet, 325KB

This file may not be suitable for users of assistive technology.
[Request a different format.](#)

<https://www.gov.uk/government/publications/sfa-funding-allocations-to-training-providers-2014-to-2015>

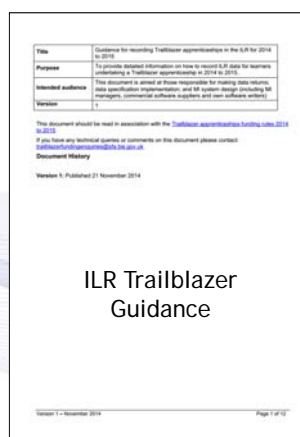
So to be a **lead provider** you need to already have, or gain, a 2014/15 SFA allocation for apprenticeships (there is no separate budget or allocations process)

The SFA rules and ILR guidance (15/16 not published yet)



68 pages

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/412172/Trailblazer_Apprenticeships_Funding_Rules_2014_to_2015_versions_2.pdf



12 pages

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/377319/Trailblazer_ILR_guidance_21Nov2014_v1.pdf

Framework 'v' Standards funding

Frameworks (current)	Trailblazer standards (new)
12/13 listed rates per qual on LARS not linked to fee	One of 5 capped rates per standard with link to fee
Rate differs per age (16-18, 19-23 & 24+ & 19+ co-funding)	Rate same regardless of age with 16-18 employer incentive only
Weightings PW, DU and ACU	No DU or ACU weightings
Achievement 20% of funding, paid to provider	Completion payment
Discount (25%) for employer with 1000+ employees	No incentive payment to employers with 50 or more staff
16-24 Apprenticeship Grant for Employers (AGE) for less than 50 employees	Small employer (less than 50 employees) incentive paid to the employer

The Core Government Contribution

	Funding band				
	1	2	3	4	5
SFA funding cap (CGC)	£2,000	£3,000	£6,000	£8,000	£18,000

Why?: Pay for training and assessment

Each standard is set a CGC band, currently published online, but not in a table format (on each page for a standard):
www.gov.uk/government/collections/apprenticeship-standards

The CGC value linked to the fee. For every £1 in cash fee the CGC value is £2 (or double), up to the cap

Employer fee at cap level	£1,000	£1,500	£3,000	£4,000	£9,000
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When?: SFA expect fee and CGC payment schedule to be negotiated with employer

Employer incentive payments

Three employer incentive payments:

- 16-18 apprentice
- Small business (<50 staff)
- Completion (successful)

Value of incentive payment is linked to funding band

The negotiated fee does not impact on incentive value, nor is it linked to provider payment schedule

Lead providers receive the incentive payment based on ILR submission which "must be passed, in full, to the employer within 10 working days"

16-18 employer incentive payment

	<i>Funding band</i>				
	1	2	3	4	5
Recruiting a 16-18 year old (paid to employer):	£600	£900	£1,800	£2,400	£5,400

Why?: "intended to reflect the fact that such apprentices require a greater level of supervision, guidance, education and induction in the workplace"

Who?: For apprentices aged 16, 17 or 18 at the start date of the apprenticeship

When?: Paid 50% after three months and remaining 50% after 12 months

How?: Payment is triggered by date of birth and start date in ILR

Small business employer incentive payment

	<i>Funding band</i>				
	1	2	3	4	5
For small business (<50 staff) (paid to employer):	£500	£500	£900	£1,200	£2,700

Why?: "recognition of additional costs that small employers face when taking on an apprentice"

Who?: Business with 49 or fewer full or part-time employees

When?: Paid 100% three months after apprentice starts

How?: To trigger the payment providers must use code SEM1 in the ILR Employment Status monitoring fields.

Successful completion incentive payment

	<i>Funding band</i>				
	1	2	3	4	5
Successful completion (paid to employer):	£500	£500	£900	£1,200	£2,700

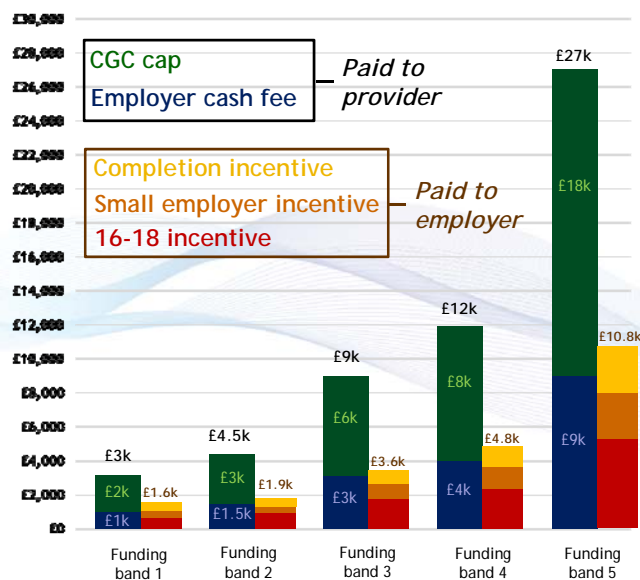
Why?: To support the costs of assessment

Who?: All employers eligible, but to ensure independence "assessments must involve a third party who does not stand to benefit financially from the outcome."

When?: Paid when ILR recorded as achieved

Note: Further advice about completion eligibility requirements and assessment registration arrangement published in January

Trailblazer standards funding



Setting the fee (figures at max)

Trailblazer funding bands	1	2	3	4	5
SFA funding core government contribution (CGC) at cap	£2,000	£3,000	£6,000	£8,000	£18,000
Recruiting a 16-18 year old (paid to employer):	£600	£900	£1,800	£2,400	£5,400
For a small business (<50 staff) (paid to employer):	£500	£500	£900	£1,200	£2,700
Successful completion (paid to employer):	£500	£500	£900	£1,200	£2,700
Maximum SFA total paid to provider and employer:	£3,600	£4,900	£9,600	£12,800	£28,800

Employer mandatory fee at cap	£1,000	£1,500	£3,000	£4,000	£9,000
Provider paid CGC + employer fee. SFA call this 'co-payment'	£3,000	£4,500	£9,000	£12,000	£27,000
Large business with successfully completed 19+ keeps	£500	£500	£900	£1,200	£2,700
Large business with successfully completed 16-18 keeps	£1,100	£1,400	£2,700	£3,600	£8,100
Small business with successfully completed 19+ keeps	£1,000	£1,000	£1,800	£2,400	£5,400
Small business with successfully completed 16-18 keeps	£1,600	£1,900	£3,600	£4,800	£10,800
Net cost to large business with successfully completed 19+	£-500	£-1,000	£-2,100	£-2,800	£-6,300
Net cost to large business with successfully completed 16-18	£100	£-100	£-300	£-400	£-900
Net cost to small business with successfully completed 19+	£0	£-500	£-1,200	£-1,600	£-3,600
Net cost to small business with successfully completed 16-18	£600	£400	£600	£800	£1,800

Red = Employer fee greater than funding Orange = Funding cancels out fee Green = Employer funding greater than fee

Note: English and maths paid to provider at £471 each

Setting the fee (figures at half max)

Trailblazer funding bands	1	2	3	4	5
SFA funding core government contribution (CGC) at cap	£2,000	£3,000	£6,000	£8,000	£18,000
Recruiting a 16-18 year old (paid to employer):	£600	£900	£1,800	£2,400	£5,400
For a small business (<50 staff) (paid to employer):	£500	£500	£900	£1,200	£2,700
Successful completion (paid to employer):	£500	£500	£900	£1,200	£2,700
Maximum SFA total paid to provider and employer:	£3,600	£4,900	£9,600	£12,800	£28,800

Employer mandatory fee at half cap	£500	£750	£1,500	£2,000	£4,500
Provider paid (SFA + employer fee)	£1,500	£2,250	£4,500	£6,000	£13,500
Large business with successfully completed 19+ keeps	£500	£500	£900	£1,200	£2,700
Large business with successfully completed 16-18 keeps	£1,100	£1,400	£2,700	£3,600	£8,100
Small business with successfully completed 19+ keeps	£1,000	£1,000	£1,800	£2,400	£5,400
Small business with successfully completed 16-18 keeps	£1,600	£1,900	£3,600	£4,800	£10,800
Net cost to large business with successfully completed 19+	£0	£-250	£-600	£-800	£-1,800
Net cost to large business with successfully completed 16-18	£600	£650	£1,200	£1,600	£3,600
Net cost to small business with successfully completed 19+	£500	£250	£300	£400	£900
Net cost to small business with successfully completed 16-18	£1,100	£1,150	£2,100	£2,800	£6,300

Red = Employer fee greater than funding Orange = Funding cancels out fee Green = Employer funding greater than fee

Note: English and maths paid to provider at £471 each

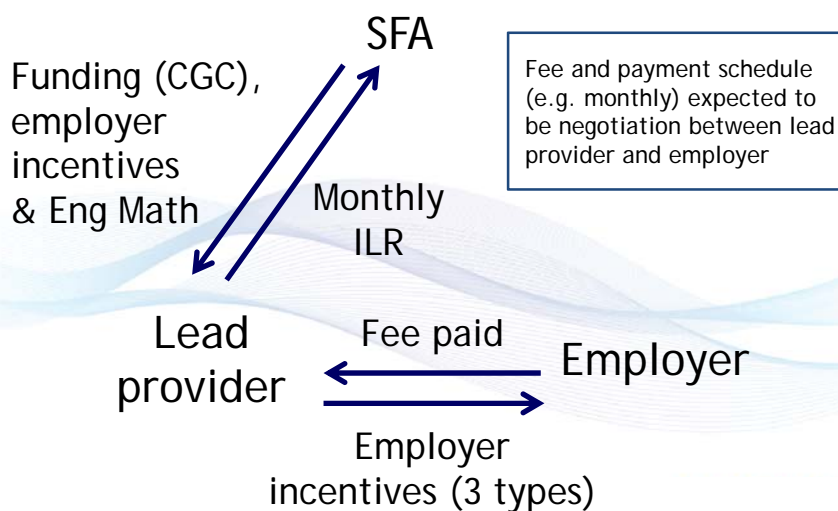
The fee sweet spots

The employer fee which equals their incentive (so free)

Funding band	1	2	3	4	5
Fee to large employer (50+ staff) with completed 19+	£500	£500	£900	£1,200	£2,700
Paid to provider (CGC + fee)	£1,500	£1,500	£2,700	£3,600	£8,100
Fee to large employer (50+ staff) with completed 16-18	*	£1,400	£2,700	£3,600	£8,100
Paid to provider (CGC + fee)		£4,200	£8,100	£10,800	£24,300
Small employer (<50 staff) with completed 19+	£1,000	£1,000	£1,800	£2,400	£5,400
Paid to provider (CGC + fee)	£3,000	£3,000	£5,400	£7,200	£16,200

Small employer (<50 staff) with completed 16-18 *Incentive always higher than fee

The funding and data flow



Where next for apprenticeship funding and policy?

We will "support 3m new apprenticeships, so **young people** acquire the skills to succeed"



The 16-23 (young) apprenticeship starts have never broken through the 300k barrier

Despite introduction of Apprenticeship Grant for Employers (AGE) and Raising the Participation Age (RPA), the 16-18 starts in 13/14 were 9% lower than in 2010/11. Minimum duration at play?

3m target will either rely on 24+ growth (easy and cheap), or incentivising employers to take 16-23 (difficult and expensive)

